

Holding of Specified Securities

Annexure - I

1. Name of	V.B. DESAI FINANCIAL SERVICES LIMITED		
2. Scrip Code/Name of Scrip/Class of Security	511110		
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
a. If under 31(1)(b) then indicate the	31st December 2015		
b. If under 31(1)(c) then indicate			
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
(A)	Promoter & Promoter Group	25	1824235	0	0	1824235	40.29	1824235		1824235	40.29		40.29	0	0	0	0	1824135
(B)	Public	4868	2703655	0	0	2703655	59.71	2703655		2703655	59.71		59.71	0	0		NA	2150166
(C)	Non Promoter - Non Public																NA	
(C 1)	Shares underlying DRs						NA										NA	
(C 2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0		0	0.00		0.00	0	0		NA	0
	Total	4893	4527890	0	0	4527890	100.00	4527890	0	4527890	100.00		100.00	0	0			3974301

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

1	Category & Name of the shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total								
(a)	Individuals / Hindu Undivided Family																		
	MUKESH THAKORLAL SHROFF		2	158946	0		158946	3.51	158946	0	158946	3.51	0	3.51	0	0	0	0	158946
	RAJ PRADIP SHROFF HUF		1	27923	0		27923	0.62	27923	0	27923	0.62	0	0.62	0	0	0	0	27923
	SATYAM SHROFF HUF . .		1	25189	0		25189	0.56	25189	0	25189	0.56	0	0.56	0	0	0	0	25189
	THAKORLAL R SHROFF		1	14421	0		14421	0.32	14421	0	14421	0.32	0	0.32	0	0	0	0	14421
	GIRISH RATILAL SHROFF		1	1200	0		1200	0.03	1200	0	1200	0.03	0	0.03	0	0	0	0	1200
	PINKI MUKESH SHROFF		1	3000	0		3000	0.07	3000	0	3000	0.07	0	0.07	0	0	0	0	3000
	JYOTI GIRISH SHROFF		1	2950	0		2950	0.07	2950	0	2950	0.07	0	0.07	0	0	0	0	2950
	JITENDRA R SHROFF		1	90988	0		90988	2.01	90988	0	90988	2.01	0	2.01	0	0	0	0	90988
	HEMENDRA J SHROFF		1	1200	0		1200	0.03	1200	0	1200	0.03	0	0.03	0	0	0	0	1200
	PURVI RAJ SHROFF		1	12000	0		12000	0.27	12000	0	12000	0.27	0	0.27	0	0	0	0	12000
	RAKSHA PRADIP SHROFF		1	7692	0		7692	0.17	7692	0	7692	0.17	0	0.17	0	0	0	0	7692
	PRADIP RATILAL SHROFF		1	4252	0		4252	0.09	4252	0	4252	0.09	0	0.09	0	0	0	0	4252
	ALPA JITENDRA SHROFF		1	38217	0		38217	0.84	38217	0	38217	0.84	0	0.84	0	0	0	0	38217
	HEMENDRA J SHROFF		1	3006	0		3006	0.07	3006	0	3006	0.07	0	0.07	0	0	0	0	3006
	MANOJ THAKORLAL SHROFF		1	800	0		800	0.02	800	0	800	0.02	0	0.02	0	0	0	0	800
	BINDU S MARFATIA		1	5431	0		5431	0.12	5431	0	5431	0.12	0	0.12	0	0	0	0	5431
	RAJ PRADIP SHROFF		1	800	0		800	0.02	800	0	800	0.02	0	0.02	0	0	0	0	800
	KAMLESH J. SHROFF		1	30000	0		30000	0.66	30000	0	30000	0.66	0	0.66	0	0	0	0	30000
	DHARTI J SHROFF		1	261041	0		261041	5.77	261041	0	261041	5.77	0	5.77	0	0	0	0	261041
	TEJAL KAMLESH SHROFF	L	1	10000	0		10000	0.22	10000	0	10000	0.22	0	0.22	0	0	0	0	10000

	RAKSHA PRADIP SHROFF		1	100	0		100	0.00	100	0	100	0.00	0	0.00		0		0	0
(b)	Central Government / State Government (s)						0		0	0	0		0						0
(c)	Financial Institutions / Banks						0		0	0	0		0						0
(d)	Any other (specify)																		
	AARAYAA FINSTOCK PVT LTD	K	1	9257	0		9257	0.20	9257	0	9257	0.20	0	0.20	0	0	0	0	9257
	DESAI INVESTMENTS PVT. LTD.	M	1	771607	0		771607	17.04	771607	0	771607	17.04	0	17.04	0	0	0	0	771607
	SOM HOLDING AND TRADING CO PVT LTD	AABCS9354 B	1	344215	0		344215	7.60	344215	0	344215	7.60	0	7.60	0	0	0	0	344215
	Sub-Total (A)(1)		25	1824235	0	0	1824235	40.29	1824235	0	1824235	40.29	0	40.29	0	0	0	0	1824135
2	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0		0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0.00	0	0		0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0		0	0
(e)	Any other (specify)		0	0		0	0	0.00	0	0	0	0.00	0	0.00		0		0	0
	Sub Total (A) (2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0		0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		25	1824235	0	0	1824235	40.29	1824235	0	1824235	40.29	0	40.29		0		0	1824135

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable) (a)	As a % of total Shares held (b)		
									Class X	Class Y	Total									
1	Institutions																			
(a)	Mutual Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0		
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0		
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0		
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0		
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0		
(f)	Financial Institutions/ Banks																NA			
	Other Less than 1%		2	200	0		200	0.00	200	0	200	0.00	0	0.00	0	0	NA	200		
(g)	Insurance Companies																NA			
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0		
(i)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0		
	Sub Total (B) (1)		2	200	0	0	200	0.00	200	0	200	0.00	0	0.00	0	0	NA	200		
																	NA			
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0		
	Sub Total (B) (2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0		

																	NA	
3	Non-institutions																NA	
(a)	Individuals																NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		4678	1716317	0	1716317	37.91	1716317	0	1716317	37.91	0	37.91	0	0		NA	1322918
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.					0		0	0	0		0					NA	
	SHREEKANT JAVALGEKAR	AARPJ9648L	1	57000	0	57000	1.26	57000	0	57000	1.26	0	1.26	0	0		NA	57000
	Srihari Sirdeshpande	ACYPS0106M	1	76700	0	76700	1.69	76700	0	76700	1.69	0	1.69	0	0		NA	76700
	KEVAL K SHROFF	BREPS3263F	1	50000	0	50000	1.10	50000	0	50000	1.10	0	1.10	0	0		NA	50000
	NITIN KOHLI		1	130000	0	130000	2.87	130000	0	130000	2.87	0	2.87		0		NA	0
	Other Less than 1%		9	287474	0	287474	6.35	287474	0	287474	6.35	0	6.35	0	0		NA	287474
(b)	NBFCs registered with RBI																NA	
(c)	Employee Trusts																NA	
(d)	Overseas Depositories (holding DRs) (balancing figure)																NA	
(e)	Any Other (specify)																NA	
	NRI (Repat & Non Repat)																NA	
	Other Less than 1%		8	8930	0	8930	0.20	8930	0	8930	0.20	0	0.20	0	0		NA	8930
	OCB's																NA	
	Trust																NA	
	Other Less than 1%		3	15310	0	15310	0.34	15310	0	15310	0.34	0	0.34	0	0		NA	15310
	Hindu Undivided Family																NA	
	Other Less than 1%		72	36198	0	36198	0.80	36198	0	36198	0.80	0	0.80	0	0		NA	36198
	Clearing Members																NA	
	Other Less than 1%		6	15567	0	15567	0.34	15567	0	15567	0.34	0	0.34	0	0		NA	15567
	Other Body Corporates																NA	
	NEPTUNE STEEL STRIPS LTD	AAACN3478N	1	104520	0	104520	2.31	104520	0	104520	2.31	0	2.31	0	0		NA	104520

	KRUTI CAPITAL SERVICES PVT LTD	AACCC25 60Q	1	65000	0	65000	1.44	65000	0	65000	1.44	0	1.44	0	0	NA	65000	
	Other Less than 1%		84	140439	0	140439	3.10	140439	0	140439	3.10	0	3.10	0	0	NA	110349	
	Market Makers															NA		
	Employee															NA		
	Other Directors															NA		
	Sub Total (B) (3)		4866	2703455	0	0	2703455	59.71	2703455	0	2703455	59.71	0	59.71	0	0	NA	2149966
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		4868	2703655	0	0	2703655	59.71	2703655	0	2703655	59.71	0	59.71	0	0	NA	2150166

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

1	Category & Name of the shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total Shares held (Not applicable)
									Class X	Class Y	Total								
	Custodian/DR Holder		0	0	0	0	0		0	0	0	0	0	0		NA	0		
(a)	Name of DR Holder (if available)															NA			
(i)	Name 1															NA			
(ii)	Name 2															NA			
																NA			
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0		NA	0		
	Name															NA			
																NA			
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0		NA	0		

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available