

Introductory sub-table (I)(a)

Name of the Company: V.B.DESAI FINANCIAL SERVICES LIMITED			
Scrip Code, Name of the scrip, class of security: 511110			
Quarter ended: 30th September 2013			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	4527890	0	100

Statement Showing Shareholding Pattern								
Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	No. of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	16	335687	303746	7.41	7.41	0	0.00
(b)	Central Government/ State Government	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	22	1549605	1295529	34.22	34.22		0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	38	1885292	1599275	41.64	41.64	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	38	1885292	1599275	41.64	41.64	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	2	200	200	0.00	0.00	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(1)	2	200	200	0.00	0.00	0	0.00

B 2	Non-institutions							
(a)	Bodies Corporate	100	240642	209746	5.31	5.31	0	0.00
(b)	Individuals				0.00	0.00	0	0.00
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	4831	1580659	1213493	34.91	34.91	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	25	772177	627877	17.05	17.05	0	0.00
(c)	Any Other (specify)	0	0		0.00	0.00	0	0.00
(c-i)	Non Resident Indians	5	7930	7930	0.18	0.18	0	0.00
(c-ii)	Hindu Undivided Family	67	40990	40990	0.91	0.91	0	0.00
c-iii	Clearing members	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(2)	5028	2642398	2100036	58.36	58.36	0	0.00
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	5030	2642598	2100236	58.36	58.36	0	0.00
	TOTAL (A)+(B)	5068	4527890	3699511	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0
	Sub-Total (C)	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	5068	4527890	3699511	100.00	100.00	0	0.00
Sr.No	Notes							
1	Nil							

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"											
Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total no. of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Mr. J.R.Shroff HUF	19060	0.42	0	0.00	0.00	0	0	0	0	19060
2	Mr. J.R.Shroff	15200	0.34	0	0.00	0.00	0	0	0	0	15200
3	Mrs. Dharati J. Shroff	249931	5.52	0	0.00	0.00	0	0	0	0	249931
4	Mr. Pradip R. Shroff	4252	0.09	0	0.00	0.00	0	0	0	0	4252
5	Mr. Mukesh T. Shroff	500	0.01	0	0.00	0.00	0	0	0	0	500
6	Mrs. Manjula T. Shroff	10200	0.23	0	0.00	0.00	0	0	0	0	10200
7	Mrs. Raksha P. Shroff	7792	0.17	0	0.00	0.00	0	0	0	0	7792
8	Ms. Bindu T. Shroff	5431	0.12	0	0.00	0.00	0	0	0	0	5431
9	Mr. Thakorlal R. Shroff	4221	0.09	0	0.00	0.00	0	0	0	0	4221
10	Mr. Girish R. Shroff	1200	0.03	0	0.00	0.00	0	0	0	0	1200
11	Mrs. Jyoti G. Shroff	1000	0.02	0	0.00	0.00	0	0	0	0	1000
12	Mr. Manoj T. Shroff	800	0.02	0	0.00	0.00	0	0	0	0	800
13	Mrs. Archana M. Shroff	300	0.01	0	0.00	0.00	0	0	0	0	300
14	Mr. Raj P. Shroff	800	0.02	0	0.00	0.00	0	0	0	0	800
15	Mrs. Purvi R. Shroff	12000	0.27	0	0.00	0.00	0	0	0	0	12000
16	Mrs. Pinki M. Shroff	3000	0.07	0	0.00	0.00	0	0	0	0	3000
17	Aarayaa Finstock Pvt. Ltd.	100627	2.22	0	0.00	0.00	0	0	0	0	100627
18	Bahl & Co. Pvt. Ltd	126048	2.78	0	0.00	0.00	0	0	0	0	126048
19	Budh Holding & Trading Co. Pvt. Ltd.	148314	3.28	0	0.00	0.00	0	0	0	0	148314
20	Churchgate Investment & Trading Co. Pvt. Ltd.	11946	0.26	0	0.00	0.00	0	0	0	0	11946
21	Cumulative Investment & Trading Co. Pvt. Ltd.	107275	2.37	0	0.00	0.00	0	0	0	0	107275

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total no. of convertible securities of the same class	
22	Desai Investments Pvt. Ltd.	771607	17.04	0	0.00	0.00	0	0	0	0	771607
23	Govardhan Holding & Trading Co. Pvt. Ltd.	25189	0.56	0	0.00	0.00	0	0	0	0	25189
24	Guide Investment & Trading Co. Pvt. Ltd.	16956	0.37	0	0.00	0.00	0	0	0	0	16956
25	Guru Holding Pvt. Ltd.	49307	1.09	0	0.00	0.00	0	0	0	0	49307
26	Mahim Holdings & Trading Co. Pvt. Ltd.	100	0.00	0	0.00	0.00	0	0	0	0	100
27	Marine Drive Investment & Trading Co. Pvt. Ltd.	400	0.01	0	0.00	0.00	0	0	0	0	400
28	Matunga Investments & Trading Co. Pvt. Ltd.	34429	0.76	0	0.00	0.00	0	0	0	0	34429
29	Saundaraya Investment & Trading Co. Pvt. Ltd.	12300	0.27	0	0.00	0.00	0	0	0	0	12300
30	Neptune Steel Strips Ltd.	6349	0.14	0	0.00	0.00	0	0	0	0	6349
31	Som Holding & Trading Co. Pvt. Ltd.	79838	1.76	0	0.00	0.00	0	0	0	0	79838
32	Mahimna Mercantile Credits Ltd.	19586	0.43	0	0.00	0.00	0	0	0	0	19586
33	Pearl Housing Finance Ltd.	2886	0.06	0	0.00	0.00	0	0	0	0	2886
34	Shriyam Auto Fin Ltd.	8392	0.19	0	0.00	0.00	0	0	0	0	8392
35	Traitraya Construction Finance Ltd.	9386	0.21	0	0.00	0.00	0	0	0	0	9386
36	Vishvakarma Equipment Finance Ltd.	10186	0.22	0	0.00	0.00	0	0	0	0	10186
37	V.B.Desai Industrial Finance Ltd.	4792	0.11	0	0.00	0.00	0	0	0	0	4792
38	V.B.Desai Securities & Finance Ltd.	3692	0.08	0	0.00	0.00	0	0	0	0	3692
TOTAL		1885292	41.64	0	0.00	0.00	0	0	0	0	1885292
(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011											

(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total no. of shares {i.e., Grand Total (A)+(B)+(C) in Statement at para (l)(a)}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total no. of warrants of the same class	Number of convertible securities held	% w.r.t total no. of convertible securities of the same class	
1	Nitin Kohli	131000	2.89	0	0	0	0	131000
2	Srihari Sirdeshpande	76700	1.69	0	0	0	0	76700
3	Kruti Capital Services Pvt. Ltd	65000	1.43					65000
4	Milind Krishnaji Kulkarni	57700	1.27	0	0	0	0	57700
5	Shreekant Javalgekar	57000	1.10	0	0	0	0	57000
6	Snehalatha Singhi	49497	1.09	0	0	0	0	49497
TOTAL		436897	9.65	0	0	0	0	436897
(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total no. of shares {i.e., Grand Total (A)+(B)+(C) in Statement at para (l)(a)}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% total no. of convertible securities of the same class	
1	Nil	0	0.00	0	0	0	0	0
TOTAL		0	0.00	0	0	0	0	0
(l)(d) Statement showing details of locked-in shares								
Sr. No.	Name of the shareholder			Number of locked-in shares		Locked-in shares as a % of total no. of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a)}		
1	Nil			0		0.00		

TOTAL				0	0.00
(II)(a)	Statement showing details of Depository Receipts (DRs)				
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares {i.e., Grand Total (A)+(B)+(C) in Statement at para (I)(a)}	
1	Nil	0	0	0	
Total		0	0	0	
(II)(b)	Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in at para (I)(a)}	
1	Nil	0	0.00	0	
Total		0	0.00	0	