

Introductory sub-table (I)(a)

Name of the Company: V.B.DESAI FINANCIAL SERVICES LIMITED			
Scrip Code, Name of the scrip, class of security: 511110			
Quarter ended: 31ST DECEMBER 2013			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	4527890	0	100

Statement Showing Shareholding Pattern								
Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	No. of shares held in demat form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)= (viii)/(iv)*100
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	16	494633	388882	10.92	10.92	0	0.00
(b)	Central/State Govt.	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	8	1390759	1237804	30.72	30.72		0.00
(d)	Financial Insti/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	24	1885392	1626686	41.64	41.64	0	0.00
2	Foreign							
a	Individuals (Non-Residents individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter /Group (A)= (A)(1)+(A)(2)	24	1885392	1626686	41.64	41.64	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Inst / Banks	2	200	200	0.00	0.00	0	0.00
(c)	Central /State Govt.	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Inst. Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(1)	2	200	200	0.00	0.00	0	0.00

B 2	Non-institutions							
(a)	Bodies Corporate	97	238762	209466	5.27	5.27	0	0.00
(b)	Individuals				0.00	0.00	0	0.00
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	4815	1618753	1231676	35.75	35.75	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	24	735957	591657	16.25	16.25	0	0.00
(c)	Any Other (specify)	0	0		0.00	0.00	0	0.00
(c-i)	Non Resident Indians	5	7930	7930	0.18	0.18	0	0.00
(c-ii)	Hindu Undivided Family	68	40896	40896	0.90	0.90	0	0.00
c-iii	Clearing members	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(2)	5009	2642298	2081625	58.36	58.36	0	0.00
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	5011	2642498	2081825	58.36	58.36	0	0.00
	TOTAL (A)+(B)	5035	4527890	3708511	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0
	Sub-Total (C)	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	5035	4527890	3708511	100.00	100.00	0	0.00

Sr.No	Notes
1	Nil

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total no. of shares {i.e., Grand Total (A)+(B)+(C) in Statement at para (I)(a)}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total no. of warrants of the same class	Number of convertible securities held	% w.r.t total no. of convertible securities of the same class	
1	Nitin Kohli	131000	2.89	0	0	0	0	131000
2	Srihari Sirdeshpande	76700	1.69	0	0	0	0	76700
3	Kruti Capital Services Pvt. Ltd	65000	1.43					65000
4	Milind Krishnaji Kulkarni	58500	1.29	0	0	0	0	58500
5	Shreekant Javalgekar	57000	1.10	0	0	0	0	57000
6	Snehalatha Singhi	49497	1.09	0	0	0	0	49497
TOTAL		437697	9.67	0	0	0	0	437697
(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total no. of shares {i.e., Grand Total (A)+(B)+(C) in Statement at para (I)(a)}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% total no. of convertible securities of the same class	
1	Nil	0	0.00	0	0	0	0	0
TOTAL		0	0.00	0	0	0	0	0
(I)(d) Statement showing details of locked-in shares								
Sr. No.	Name of the shareholder			Number of locked-in shares		Locked-in shares as a % of total no. of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a)}		

1	Nil		0	0.00
TOTAL			0	0.00
(II)(a) Statement showing details of Depository Receipts (DRs)				
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares {i.e., Grand Total (A)+(B)+(C) in Statement at para (I)(a)}
1	Nil	0	0	0
Total		0	0	0
(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in at para (I)(a)}
1	Nil	0	0.00	0
Total		0	0.00	0