

<b>General information about company</b>	
Scrip code	511110
Name of the company	V.B.DESAI FINANCIAL SERVICES LIMITED
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Date of Report (For Prelisting / Allotment)	31-03-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	25	1928755			1928755	42.6	1928755		1928755	42.6
(B)	Public	4788	2599135			2599135	57.4	2599135		2599135	57.4
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	4813	4527890			4527890		4527890		4527890	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				42.6					1928655
(B)	Public				57.4					2046606
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total									3975261

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	20	699156			699156	15.44	699156		699156	15.44
(d)	Any Other (specify)	5	1229599			1229599	27.16	1229599		1229599	27.16
Sub-Total (A)(1)		25	1928755			1928755	42.6	1928755		1928755	42.6
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		25	1928755			1928755	42.6	1928755		1928755	42.6
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	2	200			200	0	200		200	0
Sub-Total (B) (1)		2	200			200	0	200		200	0
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4613	1736321			1736321	38.35	1736321		1736321	38.35
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	13	586674			586674	12.96	586674		586674	12.96
(e)	Any Other (specify)	160	275940			275940	6.09	275940		275940	6.09
Sub-Total (B) (3)		4786	2598935			2598935	57.4	2598935		2598935	57.4
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		4788	2599135			2599135	57.4	2599135		2599135	57.4
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		4813	4527890			4527890	100	4527890		4527890	100
Total (A+B+C)		4813	4527890			4527890		4527890		4527890	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				15.44					699056
(d)				27.16					1229599
Sub-Total (A) (1)				42.6					1928655
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A) (2)				42.6					1928655
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0					200
Sub-Total (B) (1)				0					200
(3)	Non-institutions								
(a(i))				38.35					1343882
(a(ii))				12.96					456674
(e)				6.09					245850
Sub-Total (B) (3)				57.4					2046406
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				57.4					2046606
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					3975261
Total (A+B+C)									3975261

Individuals/Hindu undivided Family							
Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	PRADIP RATILAL SHROFF	JITENDRA R SHROFF HUF	MUKESH THAKORLAL SHROFF	RAJ PRADIP SHROFF HUF	SATYAM SHROFF HUF	THAKORLAL SHROFF	GIRISH RATILAL SHROFF
PAN (II)	AAQPS3976D	AABHJ7196M	AAGPS5422A	AAMHR0994N	AAUHS0770F	AAVPS2012B	AAYP5218A
No. of fully paid up equity shares held (IV)	4252	90988	158946	27923	25189	14421	1200
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4252	90988	158946	27923	25189	14421	1200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.09	2.01	3.51	0.62	0.56	0.32	0.03
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	4252	90988	158946	27923	25189	14421	1200
Class eg:y							
Total	4252	90988	158946	27923	25189	14421	1200
Total as a % of Total Voting rights	0.09	2.01	3.51	0.62	0.56	0.32	0.03
No. Of Shares Underlying Outstanding convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	0.09	2.01	3.51	0.62	0.56	0.32	0.03
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							

Number of equity shares held in dematerialized form (XIV)	4252	90988	158946	27923	25189	14421	1200
---	------	-------	--------	-------	-------	-------	------



Individuals/Hindu undivided Family							
Searial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	PINKI MUKESH SHROFF	JYOTI GIRISH SHROFF	HEMENDRA J SHROFF	PURVI RAJ SHROFF	RAKSHA PRADIP SHROFF	ALPA JITENDRA SHROFF	HEMENDRA JITENDRA SHROFF
PAN (II)	AQUPS8943E	AWFPS1224F	AADHH0873H	AADPM9917F	AAQPS3458N	AAVPS1904Q	AAVPS1905R
No. of fully paid up equity shares held (IV)	3000	2950	1200	12000	7792	38217	3006
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3000	2950	1200	12000	7792	38217	3006
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.07	0.07	0.03	0.27	0.17	0.84	0.07
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3000	2950	1200	12000	7792	38217	3006
Class eg: y							
Total	3000	2950	1200	12000	7792	38217	3006
Total as a % of Total Voting rights	0.07	0.07	0.03	0.27	0.17	0.84	0.07
No. Of Shares Underlying Outstanding convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	0.07	0.07	0.03	0.27	0.17	0.84	0.07
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							

Number of equity shares held in dematerialized form (XIV)	3000	2950	1200	12000	7692	38217	3006
---	------	------	------	-------	------	-------	------

Individuals/Hindu undivided Family						
Searial No.	15	16	17	18	19	20
Name of the Shareholders (I)	MANOJ THAKORLALA SHROFF	BINDU S MARFATIA	RAJ PRADIP SHROFF	KAMLESH J SHROFF	DHARATI J SHROFF	TEJAL KAMLESH SHROFF
PAN (II)	ABWPS8394Q	AEJPM1907P	ANRPS0402Q	APCPS9248F	ARYPS0354E	AWYPS0824L
No. of fully paid up equity shares held (IV)	800	5431	800	30000	261041	10000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	800	5431	800	30000	261041	10000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.12	0.02	0.66	5.77	0.22
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	800	5431	800	30000	261041	10000
Class eg:y						
Total	800	5431	800	30000	261041	10000
Total as a % of Total Voting rights	0.02	0.12	0.02	0.66	5.77	0.22
No. Of Shares Underlying Outstanding convertible securities (X)						
No. Of Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	0.02	0.12	0.02	0.66	5.77	0.22
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						

Number of equity shares held in dematerialized form (XIV)	800	5431	800	30000	261041	10000
---	-----	------	-----	-------	--------	-------

<b>Individuals/Hindu undivided Family</b>	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	699156
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	699156
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.44
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	699156
Class eg:y	
Total	699156
Total as a % of Total Voting rights	15.44
No. Of Shares Underlying Outstanding convertible securities (X)	
No. Of Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII) +(Xi)(a) As a % of (A+B+C2)	15.44
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
	699056

Number of equity shares held in dematerialized form (XIV)	
---	--

Any Other (specify)						
Searial No.	1	2	3	4	5	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	AARAYAA FINSTOCK PRIVATE LIMITED	DESAI INVESTMENTS PRIVATE LIMITED	SOM HOLDING AND TRADING CO. PVT. LTD.	NEPTUNE STEEL STRIPS LIMITED	DESAI INDUSTRIAL FINANCE PVT. LTD.	
PAN (II)	AAACA9589K	AAACD1356M	AABCS9354B	AAACN3478N	AAACD5346H	Total
No. of the Shareholders (I)	1	1	1	1	1	5
No. of fully paid up equity shares held (IV)	9257	771607	194215	104520	150000	1229599
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	9257	771607	194215	104520	150000	1229599
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.2	17.04	4.29	2.31	3.31	27.16
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	9257	771607	194215	104520	150000	1229599
Class eg:y						
Total	9257	771607	194215	104520	150000	1229599
Total as a % of Total Voting rights	0.2	17.04	4.29	2.31	3.31	27.16
No. Of Shares Underlying Outstanding convertible securities (X)						
No. Of Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.2	17.04	4.29	2.31	3.31	27.16
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						

Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	9257	771607	194215	104520	150000	1229599



<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>					
Searial No.	1	2	3	4	
Name of the Shareholders (I)	NITIN KOHLI	SRIHARI SIRDESHPANDE	SHREEKANT JAVALGEKAR	OTHERS LESS THAN 1%	<a href="#">Click here to go back</a>
PAN (II)	ZZZZZ9999Z	ACYPS0106M	AARPJ9648L	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	130000	76700	57000	322974	586674
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	130000	76700	57000	322974	586674
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.87	1.69	1.26	7.13	12.96
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	130000	76700	57000	322974	586674
Class eg:y					
Total	130000	76700	57000	322974	586674
Total as a % of Total Voting rights	2.87	1.69	1.26	7.13	12.96
No. Of Shares Underlying Outstanding convertible securities (X)					
No. Of Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.87	1.69	1.26	7.13	12.96
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	0	76700	57000	322974	456674

Any Other (specify)						
Searial No.	1	2	3	4	5	6
Category	Foreign Individuals or NRI	Trusts	HUF	Clearing Members	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	More than 1 percentage of shareholding	Category
Name of the Shareholders (I)					KRUTI CAPITAL SERVICES PRIVATE LIMITED	
PAN (II)					AACCC2560Q	
No. of the Shareholders (I)	8	1	71	6		74
No. of fully paid up equity shares held (IV)	8630	15310	36498	12123	65000	203379
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	8630	15310	36498	12123	65000	203379
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.19	0.34	0.81	0.27	1.44	4.49
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	8630	15310	36498	12123	65000	203379
Class eg:y						
Total	8630	15310	36498	12123	65000	203379
Total as a % of Total Voting rights	0.19	0.34	0.81	0.27	1.44	4.49
No. Of Shares Underlying Outstanding convertible securities (X)						
No. Of Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.19	0.34	0.81	0.27	1.44	4.49
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						

Number of equity shares held in dematerialized form (XIV)	8630	15310	36498	12123	65000	173289
---	------	-------	-------	-------	-------	--------

<b>Any Other (specify)</b>	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	160
No. of fully paid up equity shares held (IV)	340940
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	340940
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.53
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg: X	340940
Class eg:y	
Total	340940
Total as a % of Total Voting rights	7.53
No. Of Shares Underlying Outstanding convertible securities (X)	
No. Of Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	7.53
<b>Number of Locked in shares (XII)</b>	
No. (a)	
As a % of total Shares held (b)	

Number of equity shares held in dematerialized form (XIV)	310850
---	--------

