

General information about company	
Scrip code	511110
Name of the company	V.B.DESAI FINANCIAL SERVICES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	25	1923755			1923755	42.49	1923755		1923755	42.49
(B)	Public	4780	2604135			2604135	57.51	2604135		2604135	57.51
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	4805	4527890			4527890		4527890		4527890	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				42.49					1923655
(B)	Public				57.51					2051906
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total									3975561

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	20	694156			694156	15.33	694156		694156	15.33
(d)	Any Other (specify)	5	1229599			1229599	27.16	1229599		1229599	27.16
Sub-Total (A)(1)		25	1923755			1923755	42.49	1923755		1923755	42.49
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		25	1923755			1923755	42.49	1923755		1923755	42.49
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	2	200			200	0	200		200	0
Sub-Total (B)(1)		2	200			200	0	200		200	0
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4607	1763514			1763514	38.95	1763514		1763514	38.95
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	12	566174			566174	12.5	566174		566174	12.5
(e)	Any Other (specify)	159	274247			274247	6.06	274247		274247	6.06
Sub-Total (B)(3)		4778	2603935			2603935	57.51	2603935		2603935	57.51
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		4780	2604135			2604135	57.51	2604135		2604135	57.51
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		4805	4527890			4527890	100	4527890		4527890	100
Total (A+B+C)		4805	4527890			4527890		4527890		4527890	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				15.33					694056
(d)				27.16					1229599
Sub-Total (A) (1)				42.49					1923655
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				42.49					1923655
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0					200
Sub-Total (B) (1)				0					200
(3)	Non-institutions								
(a(i))				38.95					1371375
(a(ii))				12.5					436174
(e)				6.06					244157
Sub-Total (B) (3)				57.51					2051706
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				57.51					2051906
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					3975561
Total (A+B+C)									3975561

Individuals/Hindu undivided Family							
Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	PRADIP RATILAL SHROFF	JITENDRA R SHROFF HUF	MUKESH THAKORLAL SHROFF	RAJ PRADIP SHROFF HUF	SATYAM SHROFF HUF	THAKORLAL SHROFF	GIRISH RATILAL SHROFF
PAN (II)	AAQPS3976D	AABHJ7196M	AAGPS5422A	AAMHR0994N	AAUHS0770F	AAVPS2012B	AAYP5218A
No. of fully paid up equity shares held (IV)	4252	90988	158946	27923	25189	14421	1200
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4252	90988	158946	27923	25189	14421	1200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.09	2.01	3.51	0.62	0.56	0.32	0.03
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	4252	90988	158946	27923	25189	14421	1200
Class eg:y							
Total	4252	90988	158946	27923	25189	14421	1200
Total as a % of Total Voting rights	0.09	2.01	3.51	0.62	0.56	0.32	0.03
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	0.09	2.01	3.51	0.62	0.56	0.32	0.03
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							

As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	4252	90988	158946	27923	25189	14421	1200
Reason for not providing PAN							
Reason for not providing PAN							

Individuals/Hindu undivided Family							
Searial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	PINKI MUKESH SHROFF	JYOTI GIRISH SHROFF	HEMENDRA J SHROFF HUF	PURVI RAJ SHROFF	RAKSHA PRADIP SHROFF	ALPA JITENDRA SHROFF	HEMENDRA J SHROFF
PAN (II)	AQUPS8943E	AWFPS1224F	AADHH0873H	AADPM9917F	AAQPS3458N	AAVPS1904Q	AAVPS1905R
No. of fully paid up equity shares held (IV)	3000	2950	1200	12000	7792	38217	3006
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3000	2950	1200	12000	7792	38217	3006
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.07	0.07	0.03	0.27	0.17	0.84	0.07
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	3000	2950	1200	12000	7792	38217	3006
Class eg:y							
Total	3000	2950	1200	12000	7792	38217	3006
Total as a % of Total Voting rights	0.07	0.07	0.03	0.27	0.17	0.84	0.07
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	0.07	0.07	0.03	0.27	0.17	0.84	0.07
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							

As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3000	2950	1200	12000	7692	38217	3006
Reason for not providing PAN							
Reason for not providing PAN							

Individuals/Hindu undivided Family						
Searial No.	15	16	17	18	19	20
Name of the Shareholders (I)	MANOJ THAKORLAL SHROFF	BINDU S MARFATIA	RAJ PRADIP SHROFF	KAMLESH J SHROFF	DHARATI J SHROFF	TEJAL KAMLESH SHROFF
PAN (II)	ABWPS8394Q	AEJPM1907P	ANRPS0402Q	APCPS9248F	ARYPS0354E	AWYPS0824L
No. of fully paid up equity shares held (IV)	800	5431	800	25000	261041	10000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	800	5431	800	25000	261041	10000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.12	0.02	0.55	5.77	0.22
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	800	5431	800	25000	261041	10000
Class eg:y						
Total	800	5431	800	25000	261041	10000
Total as a % of Total Voting rights	0.02	0.12	0.02	0.55	5.77	0.22
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	0.02	0.12	0.02	0.55	5.77	0.22
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	800	5431	800	25000	261041	10000
Reason for not providing PAN						
Reason for not providing PAN						

Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	694156
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	694156
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.33
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	694156
Class eg:y	
Total	694156
Total as a % of Total Voting rights	15.33
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	15.33
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	

Number of equity shares held in dematerialized form (XIV)	694056
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)						
Searial No.	1	2	3	4	5	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	AARAYAA FINSTOCK PVT. LTD	DESAI INVESTMENTS PVT. LTD	SOM HOLDING AND TRADING CO. PVT. LTD.	NEPTUNE STEEL STRIPS LIMITED	DESAI INDUSTRIAL FINANCE PVT. LTD.	
PAN (II)	AAACA9589K	AAACD1356M	AABCS9354B	AAACN3478N	AAACD5346H	Total
No. of the Shareholders (I)	1	1	1	1	1	5
No. of fully paid up equity shares held (IV)	9257	771607	194215	104520	150000	1229599
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	9257	771607	194215	104520	150000	1229599
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.2	17.04	4.29	2.31	3.31	27.16
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	9257	771607	194215	104520	150000	1229599
Class eg:y						
Total	9257	771607	194215	104520	150000	1229599
Total as a % of Total Voting rights	0.2	17.04	4.29	2.31	3.31	27.16
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.2	17.04	4.29	2.31	3.31	27.16
Number of Locked in shares (XII)						
No. (a)						

As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	9257	771607	194215	104520	150000	1229599
Reason for not providing PAN						

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.					
Searial No.	1	2	3	4	
Name of the Shareholders (I)	NITIN KOHLI	SRIHARI SIRDESHPANDE	SHREEKANT JAVALGEKAR	Others less than 1%	Click here to go back
PAN (II)	ZZZZZ9999Z	ACYPS0106M	AARPJ9648L	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	130000	76700	57000	302474	566174
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	130000	76700	57000	302474	566174
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.87	1.69	1.26	6.68	12.5
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	130000	76700	57000	302474	566174
Class eg:y					
Total	130000	76700	57000	302474	566174
Total as a % of Total Voting rights	2.87	1.69	1.26	6.68	12.5
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.87	1.69	1.26	6.68	12.5
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	0	76700	57000	302474	436174
Reason for not providing PAN					

Reason for not providing PAN	Textual Information (1)			Textual Information (2)	
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Text Block	
Textual Information(1)	Shares held in physical form.
Textual Information(2)	Other shareholders holding less than 1% of paid-up capital.

Any Other (specify)						
Searial No.	1	2	3	4	5	6
Category	Foreign Individuals or NRI	Trusts	HUF	Clearing Members	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	More than 1 percentage of shareholding	Category
Name of the Shareholders (I)					KRUTI CAPITAL SERVICES PVT. LTD.	
PAN (II)					AACCC2560Q	
No. of the Shareholders (I)	9	1	71	4	1	74
No. of fully paid up equity shares held (IV)	8670	15310	36371	10073	65000	138823
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	8670	15310	36371	10073	65000	138823
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.19	0.34	0.8	0.22	1.44	3.07
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	8670	15310	36371	10073	65000	138823
Class eg:y						
Total	8670	15310	36371	10073	65000	138823
Total as a % of Total Voting rights	0.19	0.34	0.8	0.22	1.44	3.07
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.19	0.34	0.8	0.22	1.44	3.07
Number of Locked in shares (XII)						

No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	8670	15310	36371	10073	65000	103309
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	159
No. of fully paid up equity shares held (IV)	209247
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	209247
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.62
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	209247
Class eg:y	
Total	209247
Total as a % of Total Voting rights	4.62
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	4.62
Number of Locked in shares (XII)	
No. (a)	

As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	173733
Reason for not providing PAN	
Reason for not providing PAN	

