

Shareholding Pattern

V.B. DESAI FINANCIAL SERVICES LTD.

Scrip Code : 511110

Quarter ending: December 2017

Summary statement holding of specified securities

Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	Number of equity shares held in dematerialized form
) Promoter & Promoter Group	24	18,88,765	18,88,765	41.71	18,88,765
(B) Public	4,786	26,39,125	26,39,125	58.29	22,25,956
(C1) Shares underlying DRs				0.00	
(C2) Shares held by Employee Trust				0.00	
(C) Non Promoter-Non Public				0.00	
Grand Total	4,810	45,27,890	45,27,890	100.00	41,14,721

Statement showing shareholding pattern of the Promoter and Promoter Group

Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	Number of equity shares held in dematerialized form
				0.00	
A1) Indian					
Individuals/Hindu undivided Family	19	6,74,466	6,74,466	14.90	6,74,466
DHARTI J SHROFF	1	2,61,041	2,61,041	5.77	2,61,041
MUKESH THAKORLAL SHROFF	1	1,58,946	1,58,946	3.51	1,58,946
JITENDRA R SHROFF	1	90,988	90,988	2.01	90,988
ALPA JITENDRA SHROFF	1	38,217	38,217	0.84	38,217
RAJ PRADIP SHROFF	1	27,923	27,923	0.62	27,923
SATYAM SHROFF HUF . .	1	25,189	25,189	0.56	25,189
THAKORLAL R SHROFF	1	14,421	14,421	0.32	14,421
PURVI RAJ SHROFF	1	12,000	12,000	0.27	12,000
RAKSHA PRADIP SHROFF	1	7,792	7,792	0.17	7,792
BINDU S MARFATIA	1	5,431	5,431	0.12	5,431
PRADIP RATILAL SHROFF	1	4,252	4,252	0.09	4,252
HEMENDRA JITENDRA SHROFF	1	3,006	3,006	0.07	3,006
PINKI MUKESH SHROFF	1	3,000	3,000	0.07	3,000
JYOTI GIRISH SHROFF	1	2,950	2,950	0.07	2,950
HEMENDRA J SHROFF	1	1,200	1,200	0.03	1,200
GIRISH RATILAL SHROFF	1	1,200	1,200	0.03	1,200
RAJ PRADIP SHROFF	1	800	800	0.02	800
MANOJ THAKORLAL SHROFF	1	800	800	0.02	800
Any Other (specify)	5	12,29,599	12,29,599	27.16	12,29,599
DESAI INVESTMENTS PVT. LTD.	1	7,71,607	7,71,607	17.04	7,71,607
SOM HOLDING AND TRADING CO PVT LTD	1	1,94,215	1,94,215	4.29	1,94,215
DESAI INDUSTRIAL FINANCE PVT. LTD.	1	1,50,000	1,50,000	3.31	1,50,000
NEPTUNE STEEL STRIPS LTD	1	1,04,520	1,04,520	2.31	1,04,520
AARAYAA FINSTOCK PVT LTD	1	9,257	9,257	0.20	9,257
Sub Total A1	24	18,88,765	18,88,765	41.71	18,88,765
A2) Foreign				0.00	
A=A1+A2	24	18,88,765	18,88,765	41.71	18,88,765

Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No of Voting Rights	Total as a % of Total Voting right	Number of equity shares held in dematerialized form(Not Applicable)
B1) Institutions	0	0		0.00		0.00	
Foreign Portfolio Investors	1	50216	50,216	1.11	50,216	1.11	50,216
SPARROW ASIA DIVERSIFIED OPPORTUNITY	1	50216	50,216	1.11	50,216	1.11	50,216
Financial Institutions/ Banks	2	200	200	0.00	200	0.00	200
Sub Total B1	3	50416	50,416	1.11	50,416	1.11	50,416
B2) Central Government/ State Government(s)/ President of India	0	0		0.00		0.00	
B3) Non-Institutions	0	0		0.00		0.00	
Individual share capital upto Rs. 2 Lacs	4609	1831251	18,31,251	40.44	18,31,251	40.44	14,48,172
Individual share capital in excess of Rs. 2 Lacs	11	491136	4,91,136	10.85	4,91,136	10.85	4,91,136
DEV ASHOK KARVAT	1	93738	93,738	2.07	93,738	2.07	93,738
Srihari Sirdeshpande	1	76700	76,700	1.69	76,700	1.69	76,700
SHREEKANT JAVALGEKAR	1	57000	57,000	1.26	57,000	1.26	57,000
Any Other (specify)	163	266322	2,66,322	5.88	2,66,322	5.88	2,36,232
NRI – Repat	3	7500	7,500	0.17	7,500	0.17	7,500
NRI – Non- Repat	6	1170	1,170	0.03	1,170	0.03	1,170
HUF	76	59543	59,543	1.32	59,543	1.32	59,543
Clearing Members	19	29726	29,726	0.66	29,726	0.66	29,726
Bodies Corporate	59	168383	1,68,383	3.72	1,68,383	3.72	1,38,293
KRUTI CAPITAL SERVICES PVT LTD	1	65000	65,000	1.44	65,000	1.44	65,000
Sub Total B3	4783	2588709	25,88,709	57.17	25,88,709	57.17	21,75,540
B=B1+B2+B3	4786	2639125	26,39,125	58.29	26,39,125	58.29	22,25,956

Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	Number of equity shares held in dematerialized form(XIV)(Not Applicable)
C1) Custodian/DR Holder	0	0		0.00	
C2) Employee Benefit Trust	0	0		0.00	

Note