

Shareholding Pattern

V.B. DESAI FINANCIAL SERVICES LIMITED

Scrip Code : 511110

Quarter ended: December 2018

Summary statement holding of specified securities

Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	Total no. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form
(A) Promoter & Promoter Group	24	19,04,165	19,04,165	42.05	19,04,165	42.05	19,04,165
(B) Public	4,674	26,23,725	26,23,725	57.95	26,23,725	57.95	22,21,931
(C1) Shares underlying DRs				0.00		0.00	
(C2) Shares held by Employee Trust				0.00		0.00	
(C) Non Promoter-Non Public				0.00		0.00	
Grand Total	4,698	45,27,890	45,27,890	100.00	45,27,890	100.00	41,26,096

Statement showing shareholding pattern of the Promoter and Promoter Group

Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	Number of equity shares held in dematerialized form
A1) Indian				0.00	
Individuals/Hindu undivided Family	19	6,74,466	6,74,466	14.90	6,74,466
DHARTI J SHROFF	1	2,61,041	2,61,041	5.77	2,61,041
MUKESH THAKORLAL SHROFF	1	1,58,946	1,58,946	3.51	1,58,946
JITENDRA R SHROFF HUF	1	90,988	90,988	2.01	90,988
ALPA JITENDRA SHROFF	1	38,217	38,217	0.84	38,217
RAJ PRADIP SHROFF HUF	1	27,923	27,923	0.62	27,923
SATYAM SHROFF HUF	1	25,189	25,189	0.56	25,189
JITENDRA RATILAL SHROFF	1	15,310	15,310	0.34	15,310
THAKORLAL R SHROFF	1	14,421	14,421	0.32	14,421
PURVI RAJ SHROFF	1	12,000	12,000	0.27	12,000
RAKSHA PRADIP SHROFF	1	7,792	7,792	0.17	7,792
BINDU S MARFATIA	1	5,431	5,431	0.12	5,431
PRADIP RATILAL SHROFF	1	4,252	4,252	0.09	4,252
HEMENDRA JITENDRA SHROFF	1	3,006	3,006	0.07	3,006
PINKI MUKESH SHROFF	1	3,000	3,000	0.07	3,000
JYOTI GIRISH SHROFF	1	2,950	2,950	0.07	2,950
HEMENDRA J SHROFF HUF	1	1,200	1,200	0.03	1,200
GIRISH RATILAL SHROFF	1	1,200	1,200	0.03	1,200

MANOJ THAKORLAL SHROFF	1	800	800	0.02	800
RAJ PRADIP SHROFF	1	800	800	0.02	800
Any Other (specify)	5	12,29,599	12,29,599	27.16	12,29,599
DESAI INVESTMENTS PVT. LTD.	1	7,71,607	7,71,607	17.04	7,71,607
SOM HOLDING AND TRADING CO PVT LTD	1	1,94,215	1,94,215	4.29	1,94,215
DESAI INDUSTRIAL FINANCE PVT. LTD.	1	1,50,000	1,50,000	3.31	1,50,000
NEPTUNE STEEL STRIPS LTD	1	1,04,520	1,04,520	2.31	1,04,520
AARAYAA FINSTOCK PVT LTD	1	9,257	9,257	0.20	9,257
Sub Total A1	24	19,04,065	19,04,065	42.05	19,04,065
A2) Foreign				0.00	
A=A1+A2	24	19,04,065	19,04,065	42.05	19,04,065

Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form(Not Applicable)
B1) Institutions	0	0		0.00		0.00	
Foreign Portfolio Investors	1	50216	50,216	1.11	50,216	1.11	50,216
SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	1	50216	50,216	1.11	50,216	1.11	50,216
Financial Institutions/ Banks	1	100	100	0.00	100	0.00	100
Sub Total B1	2	50316	50,316	1.11	50,316	1.11	50,316
B2) Central/ State Government	0	0		0.00		0.00	
B3) Non-Institutions	0	0		0.00		0.00	
Individual share capital upto Rs. 2 Lacs	4509	1810028	18,10,028	39.98	18,10,028	39.98	14,38,324
Individual share capital in excess of Rs. 2 Lacs	11	491136	4,91,136	10.85	4,91,136	10.85	4,91,136
DEV ASHOK KARVAT	1	93738	93,738	2.07	93,738	2.07	93,738
Srihari Sirdeshpande	1	76700	76,700	1.69	76,700	1.69	76,700
SHREEKANT JAVALGEKAR	1	57000	57,000	1.26	57,000	1.26	57,000
Any Other (specify)	152	272245	2,72,245	6.01	2,72,245	6.01	2,42,155
LLP	1	9508	9,508	0.21	9,508	0.21	9,508
Bodies Corporate	54	167795	1,67,795	3.71	1,67,795	3.71	1,37,705
KRUTI CAPITAL SERVICES PVT LTD	1	65000	65,000	1.44	65,000	1.44	65,000
Clearing Members	14	17994	17,994	0.40	17,994	0.40	17,994
Non-Resident Indian (NRI)	9	8670	8,670	0.19	8,670	0.19	8,670
HUF	74	68278	68,278	1.51	68,278	1.51	68,278
Sub Total B3	4672	2573409	25,73,409	56.83	25,73,409	56.83	21,71,615
B=B1+B2+B3	4674	2623725	26,23,725	57.95	26,23,725	57.95	22,21,931

Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	No. of Shares Underlying Outstanding convertible securities (including Warrants)(X)	Number of Locked in shares(XII)		Number of equity shares held in dematerialized form(XIV)(Not Applicable)
						No	As a % of total Shares held	
C1) Custodian/DR Holder	0	0		0.00			0.00	
C2) Employee Benefit Trust	0	0		0.00			0.00	