

V.B. DESAI FINANCIAL SERVICES LIMITED

Shareholding Pattern as on 31st March 2021

Summary statement holding of specified securities

Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	Total no. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form
(A) Promoter & Promoter Group	23	19,04,265	19,04,265	42.06	19,04,265	42.06	19,04,265
(B) Public	4,635	26,23,625	26,23,625	57.94	26,23,625	57.94	22,33,437
(C1) Shares underlying DRs				0.00		0.00	
(C2) Shares held by Employee Trust				0.00		0.00	
(C) Non Promoter-Non Public				0.00		0.00	
Grand Total	4,658	45,27,890	45,27,890	100.00	45,27,890	100.00	41,37,702

Statement showing shareholding pattern of the Promoter and Promoter Group

Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares	No. of shares held in dematerialized form
A1) Indian				0.00	
Individuals/Hindu undivided Family	19	6,74,566	6,74,566	14.90	6,74,566
DHARTI J SHROFF	1	2,61,041	2,61,041	5.77	2,61,041
MUKESH THAKORLAL SHROFF	1	1,58,946	1,58,946	3.51	1,58,946
JITENDRA R SHROFF (HUF)	1	90,988	90,988	2.01	90,988
ALPA JITENDRA SHROFF	1	38,217	38,217	0.84	38,217
RAJ PRADIP SHROFF (HUF)	1	27,923	27,923	0.62	27,923
SATYAM SHROFF HUF . .	1	25,189	25,189	0.56	25,189
JITENDRA RATILAL SHROFF	1	15,310	15,310	0.34	15,310
THAKORLAL R SHROFF	1	14,421	14,421	0.32	14,421
PURVI RAJ SHROFF	1	12,000	12,000	0.27	12,000
RAKSHA PRADIP SHROFF	1	7,792	7,792	0.17	7,792
BINDU S MARFATIA	1	5,431	5,431	0.12	5,431
PRADIP RATILAL SHROFF	1	4,252	4,252	0.09	4,252
HEMENDRA JITENDRA SHROFF	1	3,006	3,006	0.07	3,006
PINKI MUKESH SHROFF	1	3,000	3,000	0.07	3,000
JYOTI GIRISH SHROFF	1	2,950	2,950	0.07	2,950
GIRISH RATILAL SHROFF	1	1,300	1,300	0.03	1,300
HEMENDRA J SHROFF (HUF)	1	1,200	1,200	0.03	1,200
RAJ PRADIP SHROFF	1	800	800	0.02	800
MANOJ THAKORLAL SHROFF	1	800	800	0.02	800
Companies	5	12,29,699	12,29,699	27.16	12,29,699
DESAI INVESTMENTS PVT. LTD.	1	7,71,607	7,71,607	17.04	7,71,607
SOM HOLDING AND TRADING CO PVT LTD	1	1,94,215	1,94,215	4.29	1,94,215
DESAI INDUSTRIAL FINANCE PVT. LTD.	1	1,50,000	1,50,000	3.31	1,50,000
NEPTUNE STEEL STRIPS LTD	1	1,04,520	1,04,520	2.31	1,04,520
AARAYAA FINSTOCK PVT LTD	1	9,357	9,357	0.21	9,357
Sub Total A1	24	19,04,265	19,04,265	42.06	19,04,265
A2) Foreign	0	0	0	0	0
A=A1+A2	24	19,04,265	19,04,265	42.06	19,04,265

Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form(Not Applicable)
B1) Institutions	0	0		0.00		0.00	
Foreign Portfolio Investors	1	50216	50,216	1.11	50,216	1.11	50,216
SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	1	50216	50,216	1.11	50,216	1.11	50,216
Financial Institutions/ Banks	1	100	100	0.00	100	0.00	100
Sub Total B1	2	50316	50,316	1.11	50,316	1.11	50,316
B2) Central Government/ State Government(s)/ President of India	0	0		0.00		0.00	
B3) Non-Institutions	0	0		0.00		0.00	
Individual share capital upto Rs. 2 Lacs	4492	1791409	17,91,409	39.56	17,91,409	39.56	14,31,311
Individual share capital in excess of Rs. 2 Lacs	12	543850	5,43,850	12.01	5,43,850	12.01	5,43,850
DEV ASHOK KARVAT	1	93738	93,738	2.07	93,738	2.07	93,738
Srihari Sirdeshpande	1	76700	76,700	1.69	76,700	1.69	76,700
SHREEKANT JAVALGEKAR	1	57000	57,000	1.26	57,000	1.26	57,000
SANJEEV LUNKAD	1	49609	49,609	1.10	49,609	1.10	49,609
Any Other (specify)	129	238050	2,38,050	5.26	2,38,050	5.26	2,07,960
Bodies Corporate	39	146837	1,46,837	3.24	1,46,837	3.24	1,16,747
KRUTI CAPITAL SERVICES PVT.LTD	1	60000	60,000	1.33	60,000	1.33	60,000
Clearing Members	14	16408	16,408	0.36	16,408	0.36	16,408
HUF	69	67175	67,175	1.48	67,175	1.48	67,175
Non-Resident Indian (NRI)	7	7630	7,630	0.17	7,630	0.17	7,630
Sub Total B3	4633	2573309	25,73,309	56.83	25,73,309	56.83	21,83,121
B=B1+B2+B3	4635	2623625	26,23,625	57.94	26,23,625	57.94	22,33,437

Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	Number of equity shares held in dematerialized form(XIV)(Not Applicable)
C1) Custodian/DR Holder	0	0		0.00	
C2) Employee Benefit Trust	0	0		0.00	

Statement showing details of significant beneficial owners

Sr. No	Details of the SBO (I)		Details of the registered owner (II)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)					Date of creation / acquisition of significant beneficial interest # (IV)
					Whether by virtue of:					
	Name	Nationality	Name	Nationality	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	DESAI INVESTMENTS PVT. LTD.	India	DESAININVESTMENTS PVT. LTD.	India	17	17		Yes	Yes	04/04/1986