

**V.B. DESAI FINANCIAL SERVICES LIMITED**

**Shareholding Pattern as on 30<sup>th</sup> September 2022**

Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	Total no. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form
(A) Promoter & Promoter Group	23	19,04,765	19,04,765	42.07	19,04,765	42.07	19,04,265
(B) Public	4,745	26,23,125	26,23,125	57.93	26,23,125	57.93	22,46,086
(C1) Shares underlying DRs				0.00		0.00	
(C2) Shares held by Employee Trust				0.00		0.00	
(C) Non Promoter-Non Public				0.00		0.00	
Grand Total	4,768	45,27,890	45,27,890	100.00	45,27,890	100.00	41,50,351

**Statement showing shareholding pattern of the Promoter and Promoter Group**

Category of shareholder	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares	Number of Voting Rights held in each class of securities		Number of equity shares held in dematerialized form
						Class eg: X	Total	
<b>A1) Indian</b>					0.00		0.00	
<b>Individuals/Hindu undivided Family</b>		18	6,75,066	6,75,066	14.91	6,75,066	14.91	6,74,566
DHARTI J SHROFF	Promoter Group	1	2,61,041	2,61,041	5.77	2,61,041	5.77	2,61,041
MUKESH THAKORLAL SHROFF	Promoter Group	1	1,73,367	1,73,367	3.83	1,73,367	3.83	1,73,367
JITENDRA R SHROFF (HUF)	Promoter Group	1	90,988	90,988	2.01	90,988	2.01	90,988
ALPA J SHROFF	Promoter Group	1	38,217	38,217	0.84	38,217	0.84	38,217
RAJ PRADIP SHROFF (HUF)	Promoter Group	1	27,923	27,923	0.62	27,923	0.62	27,923
SATYAM SHROFF HUF	Promoter Group	1	25,189	25,189	0.56	25,189	0.56	25,189
JITENDRA RATILAL SHROFF	Promoter Group	1	15,810	15,810	0.35	15,810	0.35	15,310
PURVI RAJ SHROFF	Promoter Group	1	12,000	12,000	0.27	12,000	0.27	12,000
RAKSHA PRADIP SHROFF	Promoter Group	1	7,792	7,792	0.17	7,792	0.17	7,792
BINDU S MARFATIA	Promoter Group	1	5,431	5,431	0.12	5,431	0.12	5,431
PRADIP RATILAL SHROFF	Promoter	1	4,252	4,252	0.09	4,252	0.09	4,252
HEMENDRA JITENDRA SHROFF	Promoter Group	1	3,006	3,006	0.07	3,006	0.07	3,006
PINKI MUKESH SHROFF	Promoter Group	1	3,000	3,000	0.07	3,000	0.07	3,000
JYOTI GIRISH SHROFF	Promoter Group	1	2,950	2,950	0.07	2,950	0.07	2,950

GIRISH RATILAL SHROFF	Promoter Group	1	1,300	1,300	0.03	1,300	0.03	1,300
HEMENDRA J SHROFF (HUF)	Promoter Group	1	1,200	1,200	0.03	1,200	0.03	1,200
MANOJ THAKORLAL SHROFF	Promoter Group	1	800	800	0.02	800	0.02	800
RAJ PRADIP SHROFF	Promoter Group	1	800	800	0.02	800	0.02	800
<b>Any Other (specify)</b>		5	12,29,699	12,29,699	27.16	12,29,699	27.16	12,29,699
DESAI INVESTMENTS PVT. LTD.	Promoter Group	1	7,71,607	7,71,607	17.04	7,71,607	17.04	7,71,607
SOM HOLDING AND TRADING CO PVT LTD	Promoter Group	1	1,94,215	1,94,215	4.29	1,94,215	4.29	1,94,215
DESAI INDUSTRIAL FINANCE PVT. LTD.	Promoter Group	1	1,50,000	1,50,000	3.31	1,50,000	3.31	1,50,000
NEPTUNE STEEL STRIPS LTD	Promoter Group	1	1,04,520	1,04,520	2.31	1,04,520	2.31	1,04,520
AARAYAA FINSTOCK PVT LTD	Promoter Group	1	9,357	9,357	0.21	9,357	0.21	9,357
<b>Sub Total A1</b>		23	19,04,765	19,04,765	42.07	19,04,765	42.07	19,04,265
<b>A2) Foreign</b>					0.00		0.00	
<b>A=A1+A2</b>		23	19,04,765	19,04,765	42.07	19,04,765	42.07	19,04,265

**Statement showing shareholding pattern of the Public shareholder**

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form (Not Applicable)	Sub-categorization of shares (XV)		
								Shareholding (No. of shares) under		
								SubCategory_I	SubCategory_II	SubCategory_III
<b>B1) Institutions (Domestic)</b>	0	0		0.00		0.00		-	-	-
<b>Banks</b>	1	100	100	0.00	100	0.00	100			
<b>Sub Total B1</b>	1	100	100	0.00	100	0.00	100			
<b>B2) Institutions (Foreign)</b>	0	0		0.00		0.00		-	-	-
<b>Foreign Portfolio Investors Category I</b>	1	50216	50,216	1.11	50,216	1.11	50,216			
SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	1	50216	50,216	1.11	50,216	1.11	50,216			
<b>Sub Total B2</b>	1	50216	50,216	1.11	50,216	1.11	50,216			
<b>B3) Central Government/ State Government(s)/ President of India</b>	0	0		0.00		0.00		-	-	-

<b>B4) Non-Institutions</b>	0	0		0.00		0.00		-	-	-
<b>Resident Individuals holding nominal share capital up to Rs. 2 lakhs</b>	4600	1799170	17,99,170	39.74	17,99,170	39.74	14,47,503			
<b>Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs</b>	11	528774	5,28,774	11.68	5,28,774	11.68	5,28,774			
SHREEKANT JAVALGEKAR	1	57000	57,000	1.26	57,000	1.26	57,000			
Srihari Sirdeshpande	1	76700	76,700	1.69	76,700	1.69	76,700			
VINOD CHANDRA BHATT	1	50577	50,577	1.12	50,577	1.12	50,577			
DEV ASHOK KARVAT	1	93738	93,738	2.07	93,738	2.07	93,738			
<b>Non Resident Indians (NRIs)</b>	7	9080	9,080	0.20	9,080	0.20	9,080			
<b>Bodies Corporate</b>	41	112099	1,12,099	2.48	1,12,099	2.48	86,727			
<b>Any Other (specify)</b>	84	123686	1,23,686	2.73	1,23,686	2.73	1,23,686			
HUF	75	111973	1,11,973	2.47	1,11,973	2.47	1,11,973			
Clearing Members	9	11713	11,713	0.26	11,713	0.26	11,713			
<b>Sub Total B4</b>	4743	2572809	25,72,809	56.82	25,72,809	56.82	21,95,770			
<b>B=B1+B2+B3+B4</b>	4745	2623125	26,23,125	57.93	26,23,125	57.93	22,46,086			

**Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	Number of equity shares held in dematerialized form(XIV)(Not Applicable)
<b>C1) Custodian/DR Holder</b>	0	0		0.00	
<b>C2) Employee Benefit Trust</b>	0	0		0.00	