

# V.B. DESAI FINANCIAL SERVICES LIMITED

## Shareholding Pattern as on 31<sup>st</sup> December 2022

### Summary statement holding of specified securities

Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	Total no. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form	Sub-categorization of shares (XV)		
								Shareholding (No. of shares) under		
								SubCategory_I	SubCategory_II	SubCategory_III
(A) Promoter & Promoter Group	23	19,13,765	19,13,765	42.27	19,13,765	42.27	19,13,765	--	--	--
(B) Public	4,771	26,14,125	26,14,125	57.73	26,14,125	57.73	22,38,210			
(C1) Shares underlying DRs				0.00		0.00		--	--	--
(C2) Shares held by Employee Trust				0.00		0.00		--	--	--
(C) Non Promoter-Non Public				0.00		0.00		--	--	--
Grand Total	4,794	45,27,890	45,27,890	100.00	45,27,890	100.00	41,51,975			

**Statement showing shareholding pattern of the Promoter and Promoter Group**

Category of shareholder	Entity Type	Nos. of shareh olders	No. of fully paid up equity shares held	Total nos. shares held	Shareh olding as a % of total no. of shares	Number of Voting Rights held in each class of securities		Number of equity shares held in dematerializ ed form
						Class eg: X	Total	
<b>A1) Indian</b>					0.00		0.00	
<b>Individuals/Hindu undivided Family</b>		18	6,75,066	6,75,066	14.91	6,75,066	14.91	6,74,566
DHARTI J SHROFF	Promoter Group	1	2,61,041	2,61,041	5.77	2,61,041	5.77	2,61,041
MUKESH THAKORLAL SHROFF	Promoter Group	1	1,73,367	1,73,367	3.83	1,73,367	3.83	1,73,367
JITENDRA R SHROFF (HUF)	Promoter Group	1	90,988	90,988	2.01	90,988	2.01	90,988
ALPA J SHROFF	Promoter Group	1	38,217	38,217	0.84	38,217	0.84	38,217
RAJ PRADIP SHROFF (HUF)	Promoter Group	1	27,923	27,923	0.62	27,923	0.62	27,923
SATYAM SHROFF HUF	Promoter Group	1	25,189	25,189	0.56	25,189	0.56	25,189
JITENDRA RATILAL SHROFF	Promoter Group	1	15,810	15,810	0.35	15,810	0.35	15,310
PURVI RAJ SHROFF	Promoter Group	1	12,000	12,000	0.27	12,000	0.27	12,000
RAKSHA PRADIP SHROFF	Promoter Group	1	7,792	7,792	0.17	7,792	0.17	7,792
BINDU S MARFATIA	Promoter Group	1	5,431	5,431	0.12	5,431	0.12	5,431
PRADIP RATILAL SHROFF	Promoter	1	4,252	4,252	0.09	4,252	0.09	4,252
HEMENDRA JITENDRA SHROFF	Promoter Group	1	3,006	3,006	0.07	3,006	0.07	3,006
PINKI MUKESH SHROFF	Promoter Group	1	3,000	3,000	0.07	3,000	0.07	3,000
JYOTI GIRISH SHROFF	Promoter Group	1	2,950	2,950	0.07	2,950	0.07	2,950

GIRISH RATILAL SHROFF	Promoter Group	1	1,300	1,300	0.03	1,300	0.03	1,300
HEMENDRA J SHROFF (HUF)	Promoter Group	1	1,200	1,200	0.03	1,200	0.03	1,200
MANOJ THAKORLAL SHROFF	Promoter Group	1	800	800	0.02	800	0.02	800
RAJ PRADIP SHROFF	Promoter Group	1	800	800	0.02	800	0.02	800
<b>Any Other (specify)</b>		5	12,29,699	12,29,699	27.16	12,29,699	27.16	12,29,699
DESAI INVESTMENTS PVT. LTD.	Promoter Group	1	7,71,607	7,71,607	17.04	7,71,607	17.04	7,71,607
SOM HOLDING AND TRADING CO PVT LTD	Promoter Group	1	1,94,215	1,94,215	4.29	1,94,215	4.29	1,94,215
DESAI INDUSTRIAL FINANCE PVT. LTD.	Promoter Group	1	1,50,000	1,50,000	3.31	1,50,000	3.31	1,50,000
NEPTUNE STEEL STRIPS LTD	Promoter Group	1	1,04,520	1,04,520	2.31	1,04,520	2.31	1,04,520
AARAYAA FINSTOCK PVT LTD	Promoter Group	1	9,357	9,357	0.21	9,357	0.21	9,357
<b>Sub Total A1</b>		23	19,04,765	19,04,765	42.07	19,04,765	42.07	19,04,265
<b>A2) Foreign</b>					0.00		0.00	
<b>A=A1+A2</b>		23	19,04,765	19,04,765	42.07	19,04,765	42.07	19,04,265

**Statement showing shareholding pattern of the Public shareholder**

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C 2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form (Not Applicable)	Sub-categorization of shares (XV)		
								Shareholding (No. of shares) under		
								SubCategory_I	SubCategory_II	SubCategory_III
<b>B1) Institutions (Domestic)</b>	0	0		0.00		0.00		-	-	-
<b>Banks</b>	1	100	100	0.00	100	0.00	100			
<b>Sub Total B1</b>	1	100	100	0.00	100	0.00	100			
<b>B2) Institutions (Foreign)</b>	0	0		0.00		0.00		-	-	-
<b>Foreign Portfolio Investors Category I</b>	1	50216	50,216	1.11	50,216	1.11	50,216			
SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	1	50216	50,216	1.11	50,216	1.11	50,216			
<b>Sub Total B2</b>	1	50216	50,216	1.11	50,216	1.11	50,216			
<b>B3) Central Government/ State Government(s)/</b>	0	0		0.00		0.00		-	-	-

<b>President of India</b>										
<b>B4) Non-Institutions</b>	0	0		0.00		0.00		-	-	-
<b>Resident Individuals holding nominal share capital up to Rs. 2 lakhs</b>	4622	1757096	17,57,096	38.81	17,57,096	38.81	14,06,553			
<b>Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs</b>	12	559764	5,59,764	12.36	5,59,764	12.36	5,59,764			
SHREEKANT JAVALGEKAR	1	57000	57,000	1.26	57,000	1.26	57,000			
Srihari Sirdeshpande	1	76700	76,700	1.69	76,700	1.69	76,700			
VINOD CHANDRA BHATT	1	61011	61,011	1.35	61,011	1.35	61,011			
DEV ASHOK KARVAT	1	93738	93,738	2.07	93,738	2.07	93,738			
<b>Non Resident Indians (NRIs)</b>	8	9380	9,380	0.21	9,380	0.21	9,380			
<b>Bodies Corporate</b>	41	112099	1,12,099	2.48	1,12,099	2.48	86,727			
<b>Any Other (specify)</b>	86	125470	1,25,470	2.77	1,25,470	2.77	1,25,470			
HUF	75	112150	1,12,150	2.48	1,12,150	2.48	1,12,150			

Clearing Members	11	13320	13,320	0.29	13,320	0.29	13,320			
<b>Sub Total B4</b>	4769	2563809	25,63,809	56.62	25,63,809	56.62	21,87,894			
<b>B=B1+B2+B3+B4</b>	4771	2614125	26,14,125	57.73	26,14,125	57.73	22,38,210			

**Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	Number of equity shares held in dematerialized form(XIV)(Not Applicable)
<b>C1) Custodian/DR Holder</b>	0	0		0.00	
<b>C2) Employee Benefit Trust</b>	0	0		0.00	