## V.B. DESAI FINANCIAL SERVICES LIMITED

## Shareholding Pattern as on 30<sup>th</sup> September 2023

Summary statement holding of specified securities										
Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	Total no. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form			
(A) Promoter & Promoter Group	23	19,13,765	19,13,765	42.27	19,13,765	42.27	19,13,765			
B) Public	4,796	26,14,125	26,14,125	57.73	26,14,125	57.73	22,41,688			
(C1) Shares underlying DRs				0.00		0.00				
(C2) Shares held by Employee Trust				0.00		0.00				
(C) Non Promoter-Non Public				0.00		0.00				
Grand Total	4,819	45,27,890	45,27,890	100.00	45,27,890	100.00	41,55,453			

Statement showing shareholding pattern of the Promoter and Promoter Group

Category of shareholder	Total nos.	Shareholding as a % of total no. of shares	Number of Voting in each class of	Number of equity shares held in	
	shares held	(calculated as per SCRR, 1957)As a % of (A+B+C2)	Class eg: X	Total	dematerialized form
Individuals/Hindu undivided Family	6,84,066	15.11	6,84,066	15.11	6,84,066
DHARTI J SHROFF	2,61,041	5.77	2,61,041	5.77	2,61,041
MUKESH THAKORLAL SHROFF	1,73,367	3.83	1,73,367	3.83	1,73,367
JITENDRA R SHROFF (HUF)	90,988	2.01	90,988	2.01	90,988
ALPA J SHROFF	38,217	0.84	38,217	0.84	38,217
RAJ PRADIP SHROFF (HUF)	27,923	0.62	27,923	0.62	27,923
SATYAM SHROFF HUF	25,189	0.56	25,189	0.56	25,189
JITENDRA RATILAL SHROFF	15,810	0.35	15,810	0.35	15,810
BINDU SHOBHAN MARFATIA	14,431	0.32	14,431	0.32	14,431
PURVI RAJ SHROFF	12,000	0.27	12,000	0.27	12,000
RAKSHA PRADIP SHROFF	7,792	0.17	7,792	0.17	7,792
PRADIP RATILAL SHROFF	4,252	0.09	4,252	0.09	4,252
HEMENDRA JITENDRA SHROFF	3,006	0.07	3,006	0.07	3,006

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PINKI MUKESH SHROFF	3,000	0.07	3,000	0.07	3,000
JYOTI GIRISH SHROFF	2,950	0.07	2,950	0.07	2,950
GIRISH RATILAL SHROFF	1,300	0.03	1,300	0.03	1,300
HEMENDRA J SHROFF (HUF)	1,200	0.03	1,200	0.03	1,200
MANOJ THAKORLAL SHROFF	800	0.02	800	0.02	800
RAJ PRADIP SHROFF	800	0.02	800	0.02	800
Any Other (specify)	12,29,699	27.16	12,29,699	27.16	12,29,699
DESAI INVESTMENTS PVT. LTD.	7,71,607	17.04	7,71,607	17.04	7,71,607
SOM HOLDING AND TRADING CO PVT LTD	1,94,215	4.29	1,94,215	4.29	1,94,215
DESAI INDUSTRIAL FINANCE PVT. LTD.	1,50,000	3.31	1,50,000	3.31	1,50,000
NEPTUNE STEEL STRIPS LTD	1,04,520	2.31	1,04,520	2.31	1,04,520
AARAYAA FINSTOCK PVT LTD	9,357	0.21	9,357	0.21	9,357
Sub Total A1	19,13,765	42.27	19,13,765	42.27	19,13,765
A2) Foreign		0.00		0.00	
A=A1+A2	19,13,765	42.27	19,13,765	42.27	19,13,765

Statement showing shareholding pattern of the Public shareholder										
Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form(Not Applicable)			
B1) Institutions	0	0		0.00		0.00				
B2) Institutions (Domestic)	0	0		0.00		0.00				
Banks	1	100	100	0.00	100	0.00	100			
Sub Total B1	1	100	100	0.00	100	0.00	100			
B3) Institutions (Foreign)	0	0		0.00		0.00				
Foreign Portfolio Investors Category I	1	50216	50,216	1.11	50,216	1.11	50,216			
SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	1	50216	50,216	1.11	50,216	1.11	50,216			
Sub Total B2	1	50216	50,216	1.11	50,216	1.11	50,216			
B4) Central Government/ State Government(s)/ President of India	0	0		0.00		0.00				
B5) Non- Institutions	0	0		0.00		0.00				

Resident Individuals holding nominal share capital up to Rs. 2 lakhs	4651	1761892	17,61,892	38.91	17,61,892	38.91	14,14,827
Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	12	559853	5,59,853	12.36	5,59,853	12.36	5,59,853
VINOD CHANDRA BHATT	1	61000	61,000	1.35	61,000	1.35	61,000
SHREEKANT JAVALGEKAR	1	57000	57,000	1.26	57,000	1.26	57,000
Srihari Sirdeshpande	1	76700	76,700	1.69	76,700	1.69	76,700
DEV ASHOK KARVAT	1	93738	93,738	2.07	93,738	2.07	93,738
Non Resident Indians (NRIs)	8	9380	9,380	0.21	9,380	0.21	9,380
Bodies Corporate	42	112100	1,12,100	2.48	1,12,100	2.48	86,728
Any Other (specify)	81	120584	1,20,584	2.66	1,20,584	2.66	1,20,584
HUF	72	108775	1,08,775	2.40	1,08,775	2.40	1,08,775
Clearing Members	8	11712	11,712	0.26	11,712	0.26	11,712
LLP	1	97	97	0.00	97	0.00	97
Sub Total B4	4794	2563809	25,63,809	56.62	25,63,809	56.62	21,91,372
B=B1+B2+B3+B4	4796	2614125	26,14,125	57.73	26,14,125	57.73	22,41,688

Category & Name of the Shareholders(I)	No. of shareholder(III )	No. of fully paid up equity shares held(IV )	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	Number of equity shares held in dematerialized form(XIV)(Not Applicable)
C1) Custodian/DR Holder	0	0		0.00	
C2) Employee Benefit Trust	0	0		0.00	

## Statement showing shareholding pattern of the Non Promoter- Non Public shareholder