

Shareholding Pattern

V.B. DESAI FINANCIAL SERVICES LIMITED

Scrip Code : 511110

Quarter ended: September 2018

Summary statement holding of specified securities

Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	Total no. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form
(A) Promoter & Promoter Group	24	19,04,065	19,04,065	42.05	19,04,065	42.05	19,04,065
(B) Public	4,683	26,23,825	26,23,825	57.95	26,23,825	57.95	22,18,089
(C1) Shares underlying DRs				0.00		0.00	
(C2) Shares held by Employee Trust				0.00		0.00	
(C) Non Promoter-Non Public				0.00		0.00	
Grand Total	4,707	45,27,890	45,27,890	100.00	45,27,890	100.00	41,22,154

Statement showing shareholding pattern of the Promoter and Promoter Group

Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	Number of equity shares held in dematerialized form
A1) Indian				0.00	
Individuals/Hindu undivided Family	19	6,74,466	6,74,466	14.90	6,74,466
DHARTI J SHROFF	1	2,61,041	2,61,041	5.77	2,61,041
MUKESH THAKORLAL SHROFF	1	1,58,946	1,58,946	3.51	1,58,946
JITENDRA R SHROFF HUF	1	90,988	90,988	2.01	90,988
ALPA JITENDRA SHROFF	1	38,217	38,217	0.84	38,217
RAJ PRADIP SHROFF HUF	1	27,923	27,923	0.62	27,923
SATYAM SHROFF HUF	1	25,189	25,189	0.56	25,189
JITENDRA RATILAL SHROFF	1	15,310	15,310	0.34	15,310
THAKORLAL R SHROFF	1	14,421	14,421	0.32	14,421
PURVI RAJ SHROFF	1	12,000	12,000	0.27	12,000
RAKSHA PRADIP SHROFF	1	7,792	7,792	0.17	7,792
BINDU S MARFATIA	1	5,431	5,431	0.12	5,431
PRADIP RATILAL SHROFF	1	4,252	4,252	0.09	4,252
HEMENDRA JITENDRA SHROFF	1	3,006	3,006	0.07	3,006
PINKI MUKESH SHROFF	1	3,000	3,000	0.07	3,000
JYOTI GIRISH SHROFF	1	2,950	2,950	0.07	2,950
HEMENDRA J SHROFF HUF	1	1,200	1,200	0.03	1,200
GIRISH RATILAL SHROFF	1	1,200	1,200	0.03	1,200

MANOJ THAKORLAL SHROFF	1	800	800	0.02	800
RAJ PRADIP SHROFF	1	800	800	0.02	800
Any Other (specify)	5	12,29,599	12,29,599	27.16	12,29,599
DESAI INVESTMENTS PVT. LTD.	1	7,71,607	7,71,607	17.04	7,71,607
SOM HOLDING AND TRADING CO PVT LTD	1	1,94,215	1,94,215	4.29	1,94,215
DESAI INDUSTRIAL FINANCE PVT. LTD.	1	1,50,000	1,50,000	3.31	1,50,000
NEPTUNE STEEL STRIPS LTD	1	1,04,520	1,04,520	2.31	1,04,520
AARAYAA FINSTOCK PVT LTD	1	9,257	9,257	0.20	9,257
Sub Total A1	24	19,04,065	19,04,065	42.05	19,04,065
A2) Foreign				0.00	
A=A1+A2	24	19,04,065	19,04,065	42.05	19,04,065

Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form(Not Applicable)
B1) Institutions	0	0		0.00		0.00	
Foreign Portfolio Investors	1	50216	50,216	1.11	50,216	1.11	50,216
SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	1	50216	50,216	1.11	50,216	1.11	50,216
Financial Institutions/ Banks	2	200	200	0.00	200	0.00	200
Sub Total B1	3	50416	50,416	1.11	50,416	1.11	50,416
Individual share capital upto Rs. 2 Lacs	4523	1811466	18,11,466	40.01	18,11,466	40.01	14,35,820
Individual share capital in excess of Rs. 2 Lacs	11	491136	4,91,136	10.85	4,91,136	10.85	4,91,136
DEV ASHOK KARVAT	1	93738	93,738	2.07	93,738	2.07	93,738
Srihari Sirdeshpande	1	76700	76,700	1.69	76,700	1.69	76,700
SHREEKANT JAVALGEKAR	1	57000	57,000	1.26	57,000	1.26	57,000
Any Other (specify)	146	270807	2,70,807	5.98	2,70,807	5.98	2,40,717
LLP	1	9508	9,508	0.21	9,508	0.21	9,508
Bodies Corporate	50	161292	1,61,292	3.56	1,61,292	3.56	1,31,202
KRUTI CAPITAL SERVICES PVT LTD	1	65000	65,000	1.44	65,000	1.44	65,000
Clearing Members	13	23559	23,559	0.52	23,559	0.52	23,559
Non-Resident Indian (NRI)	9	8670	8,670	0.19	8,670	0.19	8,670
HUF	73	67778	67,778	1.50	67,778	1.50	67,778
Sub Total B3	4680	2573409	25,73,409	56.83	25,73,409	56.83	21,67,673
B=B1+B2+B3	4683	2623825	26,23,825	57.95	26,23,825	57.95	22,18,089

Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	No. of Shares Underlying Outstanding convertible securities (including Warrants)(X)	Number of Locked in shares(XII)		Number of equity shares held in dematerialized form(XIV)(Not Applicable)
						No	As a % of total Shares held	
C1) Custodian/DR Holder	0	0		0.00			0.00	
C2) Employee Benefit Trust	0	0		0.00			0.00	