

Introductory sub-table (I)(a)

Name of the Company: V.B.DESAI FINANCIAL SERVICES LIMITED			
Scrip Code, Name of the scrip, class of security: 511110			
Quarter ended: 30th September 2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	4527890	0	100

Statement Showing Shareholding Pattern								
Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	19	650424	650324	14.36	14.36	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	6	1283081	1283081	28.34	28.34	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)	Directors	0	0	0	0.00	0.00	0	0.00
(e-ii)	Director Relatives	0	0	0	0.00	0.00	0	0.00
(e-iii)	Person Acting in Concern	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	25	1933505	1933405	42.70	42.70	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	25	1933505	1933405	42.70	42.70	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	2	200	200	0.00	0.00	0	0.00
(c)	Central/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00

(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(1)	2	200	200	0.00	0.00	0	0.00
B 2	Non-institutions							
(a)	Bodies Corporate	95	243573	214277	5.38	5.38	0	0.00
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	4713	1585018	1198271	35.01	35.01	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	24	700127	555827	15.46	15.46	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(d-i)	NRI (Repat & Non Repat)	6	7930	7930	0.18	0.18	0	0.00
(d-ii)	OCB's	0	0	0	0.00	0.00	0	0.00
(d-iii)	Trust	1	15310	15310	0.34	0.34	0	0.00
(d-iv)	Hindu Undivided Family	74	38248	38248	0.84	0.84	0	0.00
(d-v)	Employee	0	0	0	0.00	0.00	0	0.00
(d-vi)	Clearing Members	4	3979	3979	0.09	0.09		
	Sub-Total (B)(2)	4917	2594185	2033842	57.29	57.29	0	0.00
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	4919	2594385	2034042	57.30	57.30	0	0.00
	TOTAL (A)+(B)	4944	4527890	3967447	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0		0.00	0	0.00
2	Public	0	0	0		0.00	0	0.00
	Sub-Total (C)	0	0	0		0	0	0.00
	GRAND TOTAL (A)+(B)+(C)	4944	4527890	3967447	100.00	100.00	0	0.00

Sr.No	Notes
1	Nil

(I) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”**

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total no. of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)= (v)/(iii)* 100	(vii)	(viii)	(ix)	(x)	(xi)	
1	DESAI INVESTMENTS PVT. LTD.	771607	17.04	0	0.00	0.00	0	0	0	0	771607
2	SOM HOLDING & TRADING CO. PVT. LTD.	344215	7.60	0	0.00	0.00	0	0	0	0	344215
3	DHARTI J SHROFF	261041	5.77	0	0.00	0.00	0	0	0	0	261041
4	MUKESH THAKORLAL SHROFF	158946	3.51	0	0.00	0.00	0	0	0	0	158946
5	BAHL & CO. PVT. LTD.	104820	2.31	0	0.00	0.00	0	0	0	0	104820
6	J.R. SHROFF HUF	75718	1.67	0	0.00	0.00	0	0	0	0	75718
7	ALPA J.SHROFF	38217	0.84	0	0.00	0.00	0	0	0	0	38217
8	KAMLESH J. SHROFF	31750	0.70	0	0.00	0.00	0	0	0	0	31750
9	J.R. SHROFF	15200	0.34	0	0.00	0.00	0	0	0	0	15200
10	AVDHOOT FINANCE AND INVESTMENT PVT. LTD.	27923	0.62	0	0.00	0.00	0	0	0	0	27923
11	GOVARDHAN HOLDING & TRADING CO. PVT. LTD.	25189	0.56	0	0.00	0.00	0	0	0	0	25189
12	THAKORLAL R. SHROFF	14421	0.32	0	0.00	0.00	0	0	0	0	14421
13	TEJAL K. SHROFF	12700	0.28	0	0.00	0.00	0	0	0	0	12700
14	PURVI RAJ SHROFF	12000	0.27	0	0.00	0.00	0	0	0	0	12000
15	AARAYAA FINSTOCK PVT LTD	9327	0.21	0	0.00	0.00	0	0	0	0	9327
16	RAKSHA PRADIP SHROFF	7692	0.17	0	0.00	0.00	0	0	0	0	7692
17	BINDU S MARFATIA	5431	0.12	0	0.00	0.00	0	0	0	0	5431
18	PRADIP RATILAL SHROFF	4252	0.09	0	0.00	0.00	0	0	0	0	4252
19	HEMENDRA J. SHROFF	4206	0.09	0	0.00	0.00	0	0	0	0	4206
20	PINKI MUKESH SHROFF	3000	0.07	0	0.00	0.00	0	0	0	0	3000
21	JYOTI GIRISH SHROFF	2950	0.07	0	0.00	0.00	0	0	0	0	2950
22	GIRISH RATILAL SHROFF	1200	0.03	0	0.00	0.00	0	0	0	0	1200
23	MANOJ THAKORLAL SHROFF	800	0.02	0	0.00	0.00	0	0	0	0	800
24	RAJ PRADIP SHROFF	800	0.02	0	0.00	0.00	0	0	0	0	800
25	RAKSHA PRADIP SHROFF	100	0.00	0	0.00	0.00	0	0	0	0	100
TOTAL		1933505	42.70	0	0.00	0.00	0	0	0	0	1933505

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the

(I)(c)(i) category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total no. of shares {i.e., Grand Total (A)+(B)+(C) in Statement at para (I)(a)}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total no. of warrants of the same class	Number of convertible securities held	% w.r.t total no. of convertible securities of the same class	
1	Nitin Kohli	130000	2.87	0	0	0	0	130000
2	Srihari Sirdeshpande	76700	1.69	0	0	0	0	76700
3	Kruti Capital Services Pvt. Ltd	65000	1.43					65000
4	Milind Krishnaji Kulkarni	58500	1.29	0	0	0	0	58500
5	Shreekant Javalgekar	57000	1.10	0	0	0	0	57000
6	Snehalatha Singhi	49497	1.09	0	0	0	0	49497
TOTAL		436697	9.64	0	0	0	0	436697

Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with

(I)(c)(ii) PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total no. of shares {i.e., Grand Total (A)+(B)+(C) in Statement at para (I)(a)}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% total no. of convertible securities of the same class	
1	Nil	0	0.00	0	0	0	0	0
TOTAL		0	0.00	0	0	0	0	0

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a % of total no. of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a)}
1	Nil	0	0.00
TOTAL		0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares {i.e., Grand Total (A)+(B)+(C) in Statement at para (I)(a)}
1	Nil	0	0	0
Total		0	0	0

Statement showing holding of Depository Receipts (DRs), where underlying shares held

(II)(b) by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in at para (I)(a)}
1	Nil	0	0.00	0
Total		0	0.00	0