

Introductory sub-table (I)(a)

Name of the Company V.B. DESAI FINANCIAL SERVICES LTD.			
Scrip Code, Name of the scrip, class of security:			
Quarter ended: 30th September, 2015			
Partly paid-up shares:-			
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group		#DIV/0!	0
Held by public		#DIV/0!	0
Total - D	0	#DIV/0!	0
Outstanding convertible securities:-			
	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group		#DIV/0!	0
Held by public		#DIV/0!	0
Total - E	0	#DIV/0!	0
Warrants:-			
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group		#DIV/0!	0
Held by public		#DIV/0!	0
Total - F	0	#DIV/0!	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities		4527890	

Statement Showing Shareholding Pattern

Statement Showing Shareholding Pattern									
Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)			Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage (IX)= (VIII)/(IV)*100	
									(VI)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100	
(A)	Shareholding of Promoter and Promoter								
1	Indian								
(a)	Individuals/ Hindu Undivided Family	21	703636	703536	15.54	15.54	0	0.00	
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	#DIV/0!	
(c)	Bodies Corporate	4	1229669	1229669	27.16	27.16	0	0.00	
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	#DIV/0!	
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	#DIV/0!	
(e-i)	Directors	0	0	0	0.00	0.00	0	#DIV/0!	
(e-ii)	Director Relatives	0	0	0	0.00	0.00	0	#DIV/0!	
(e-iii)	Person Acting in Concern	0	0	0	0.00	0.00	0	#DIV/0!	
	Sub Total(A)(1)	25	1933305	1933205	42.70	42.70	0	0.00	
2	Foreign								
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	#DIV/0!	
b	Bodies Corporate	0	0	0	0.00	0.00	0	#DIV/0!	
c	Institutions	0	0	0	0.00	0.00	0	#DIV/0!	
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	#DIV/0!	
e	Any Others(Specify)	0	0	0	0.00	0.00	0	#DIV/0!	
e-i		0	0	0	0.00	0.00	0	#DIV/0!	
e-ii		0	0	0	0.00	0.00	0	#DIV/0!	
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	#DIV/0!	
	Total Shareholding of Promoter and	25	1933305	1933205	42.70	42.70	0	0.00	

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause	Number of warrants held	As a % total	Number of convertible securities held	As a % total number	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	DESAI INVESTMENTS PVT. LTD.	771607	17.04		0.00	0.00		#DIV/0!		#DIV/0!	
2	SOM HOLDING AND TRADING CO PVT LTD	344215	7.60		0.00	0.00		#DIV/0!		#DIV/0!	
3	DHARTI J SHROFF	261041	5.77		0.00	0.00		#DIV/0!		#DIV/0!	
4	MUKESH THAKORLAL SHROFF	158946	3.51		0.00	0.00		#DIV/0!		#DIV/0!	
5	BAHL & CO. PVT. LTD.	104520	2.31		0.00	0.00		#DIV/0!		#DIV/0!	
6	JITENDRA R SHROFF	90918	2.01		0.00	0.00		#DIV/0!		#DIV/0!	
7	ALPA JITENDRA SHROFF	38217	0.84		0.00	0.00		#DIV/0!		#DIV/0!	
8	KAMLESH J. SHROFF	31750	0.70		0.00	0.00		#DIV/0!		#DIV/0!	
9	RAJ PRADIP SHROFF HUF	27923	0.62		0.00	0.00		#DIV/0!		#DIV/0!	
10	SATYAM SHROFF HUF . .	25189	0.56		0.00	0.00		#DIV/0!		#DIV/0!	
11	THAKORLAL R SHROFF	14421	0.32		0.00	0.00		#DIV/0!		#DIV/0!	
12	TEJAL KAMLESH SHROFF	12800	0.28		0.00	0.00		#DIV/0!		#DIV/0!	
13	PURVI RAJ SHROFF	12000	0.27		0.00	0.00		#DIV/0!		#DIV/0!	
14	AARAYAA FINSTOCK PVT LTD	9327	0.21		0.00	0.00		#DIV/0!		#DIV/0!	
15	RAKSHA PRADIP SHROFF	7692	0.17		0.00	0.00		#DIV/0!		#DIV/0!	
16	BINDU S MARFATIA	5431	0.12		0.00	0.00		#DIV/0!		#DIV/0!	
17	PRADIP RATILAL SHROFF	4252	0.09		0.00	0.00		#DIV/0!		#DIV/0!	
18	HEMENDRA J SHROFF	3006	0.07		0.00	0.00		#DIV/0!		#DIV/0!	
19	PINKI MUKESH SHROFF	3000	0.07		0.00	0.00		#DIV/0!		#DIV/0!	
20	JYOTI GIRISH SHROFF	2950	0.07		0.00	0.00		#DIV/0!		#DIV/0!	
21	GIRISH RATILAL SHROFF	1200	0.03		0.00	0.00		#DIV/0!		#DIV/0!	
22	HEMENDRA J SHROFF	1200	0.03		0.00	0.00		#DIV/0!		#DIV/0!	
23	MANOJ THAKORLAL SHROFF	800	0.02		0.00	0.00		#DIV/0!		#DIV/0!	
24	RAJ PRADIP SHROFF	800	0.02		0.00	0.00		#DIV/0!		#DIV/0!	
25	RAKSHA PRADIP SHROFF	100	0.00		0.00	0.00		#DIV/0!		#DIV/0!	
			0.00		#DIV/0!	0.00		#DIV/0!		#DIV/0!	
TOTAL		1933305	42.70	0	0.00	0.00	0	#DIV/0!	0	#DIV/0!	0

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00		
(b)	Financial Institutions / Banks	2	200	200	0.00	0.00		
(c)	Central Government/ State Government(s)							
		0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
(i-i)		0	0	0	0.00	0.00		
(i-ii)		0	0	0	0.00	0.00		
	Sub-Total (B)(1)	2	200	200	0.00	0.00		
B 2	Non-institutions							
(a)	Bodies Corporate	82	206181	175785	4.55	4.55		
(b)	Individuals	0	0	0	0.00	0.00		
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	4622	1518832	1139433	33.54	33.54		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	26	798954	654654	17.65	17.65		
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (specify)	0	0	0	0.00	0.00		
(d-i)	NRI (Repat & Non Repat)	8	8930	8930	0.20	0.20		
(d-ii)	OCB's	0	0	0	0.00	0.00		
(d-iii)	Trust	1	15310	15310	0.34	0.34		
(d-iv)	Hindu Undivided Family							
		71	36858	36858	0.81	0.81		
(d-v)	Employee	0	0	0	0.00	0.00		
(d-vi)	Clearing Members	2	9320	9320	0.21	0.21		
	Sub-Total (B)(2)	4812	2594385	2040290	57.30	57.30	0	
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	4814	2594585	2040490	57.30	57.30		
	TOTAL (A)+(B)	4839	4527890	3973695	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0		0.00	0	#DIV/0!
2	Public	0	0	0		0.00	0	#DIV/0!
	Sub-Total (C)	0	0	0		0	0	#DIV/0!
	GRAND TOTAL (A)+(B)+(C)	4839	4527890	3973695		100.00	0	0.00

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NITIN KOHLI	130000	2.87	0	#DIV/0!	0	#DIV/0!	
2	Srihari Sirdeshpande	76700	1.69	0	#DIV/0!	0	#DIV/0!	
3	KRUTI CAPITAL SERVICES PVT LTD	65000	1.44	0	#DIV/0!	0	#DIV/0!	
4	KEVAL K SHROFF	62700	1.38	0	#DIV/0!	0	#DIV/0!	
5	SHREEKANT JAVALGEKAR	57000	1.26	0	#DIV/0!	0	#DIV/0!	
			0.00	0	#DIV/0!	0	#DIV/0!	
TOTAL		391400	8.64	0	#DIV/0!	0	#DIV/0!	0

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL		0.00	0	#DIV/0!	0	#DIV/0!	
			0.00	0	#DIV/0!	0	#DIV/0!	
TOTAL		0	0.00	0	#DIV/0!	0	#DIV/0!	0