

**V.B.DESAI FINANCIAL SERVICES LIMITED**  
**Shareholding Pattern as on 30<sup>th</sup> September 2019**

**Summary statement holding of specified securities**

| Category of shareholder            | No. of shareholders | No. of fully paid up equity shares held | Total no. shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) | No. of Voting Rights | Total as a % of Total Voting right | No. of equity shares held in dematerialized form |
|------------------------------------|---------------------|---|-----------------------|--|----------------------|------------------------------------|--|
| (A) Promoter & Promoter Group      | 24                  | 19,04,265                               | 19,04,265             | 42.06  | 19,04,265            | 42.06                              | 19,04,265  |
| (B) Public                         | 4,647               | 26,23,625                               | 26,23,625             | 57.94  | 26,23,625            | 57.94                              | 22,31,725  |
| (C1) Shares underlying DRs         |                     |   |                       | 0.00   |                      | 0.00                               |  |
| (C2) Shares held by Employee Trust |                     |   |                       | 0.00   |                      | 0.00                               |  |
| (C) Non Promoter-Non Public        |                     |   |                       | 0.00   |                      | 0.00                               |  |
| <b>Grand Total</b>                 | <b>4,671</b>        | <b>45,27,890</b>                        | <b>45,27,890</b>      | <b>100.00</b>  | <b>45,27,890</b>     | <b>100.00</b>                      | <b>41,35,990</b>                                 |

**Statement showing shareholding pattern of the Promoter and Promoter Group**

| Category of shareholder                   | Nos. of shareholders | No. of fully paid up equity shares held | Total nos. shares held | Shareholding as a % of total no. of shares | No. of shares held in dematerialized form |
|---|----------------------|---|------------------------|--|---|
| <b>A1) Indian</b>                         |                      |   |                        | 0.00                                       |   |
| <b>Individuals/Hindu undivided Family</b> | 19                   | 6,74,566                                | 6,74,566               | 14.90                                      | 6,74,566                                  |
| DHARTI J SHROFF                           | 1                    | 2,61,041                                | 2,61,041               | 5.77                                       | 2,61,041                                  |
| MUKESH THAKORLAL SHROFF                   | 1                    | 1,58,946                                | 1,58,946               | 3.51                                       | 1,58,946                                  |
| JITENDRA R SHROFF (HUF)                   | 1                    | 90,988                                  | 90,988                 | 2.01                                       | 90,988                                    |
| ALPA JITENDRA SHROFF                      | 1                    | 38,217                                  | 38,217                 | 0.84                                       | 38,217                                    |
| RAJ PRADIP SHROFF (HUF)                   | 1                    | 27,923                                  | 27,923                 | 0.62                                       | 27,923                                    |
| SATYAM SHROFF HUF . .                     | 1                    | 25,189                                  | 25,189                 | 0.56                                       | 25,189                                    |
| JITENDRA RATILAL SHROFF                   | 1                    | 15,310                                  | 15,310                 | 0.34                                       | 15,310                                    |
| THAKORLAL R SHROFF                        | 1                    | 14,421                                  | 14,421                 | 0.32                                       | 14,421                                    |
| PURVI RAJ SHROFF                          | 1                    | 12,000                                  | 12,000                 | 0.27                                       | 12,000                                    |
| RAKSHA PRADIP SHROFF                      | 1                    | 7,792                                   | 7,792                  | 0.17                                       | 7,792                                     |
| BINDU S MARFATIA                          | 1                    | 5,431                                   | 5,431                  | 0.12                                       | 5,431                                     |
| PRADIP RATILAL SHROFF                     | 1                    | 4,252                                   | 4,252                  | 0.09                                       | 4,252                                     |
| HEMENDRA JITENDRA SHROFF                  | 1                    | 3,006                                   | 3,006                  | 0.07                                       | 3,006                                     |
| PINKI MUKESH SHROFF                       | 1                    | 3,000                                   | 3,000                  | 0.07                                       | 3,000                                     |
| JYOTI GIRISH SHROFF                       | 1                    | 2,950                                   | 2,950                  | 0.07                                       | 2,950                                     |
| GIRISH RATILAL SHROFF                     | 1                    | 1,300                                   | 1,300                  | 0.03                                       | 1,300                                     |
| HEMENDRA J SHROFF (HUF)                   | 1                    | 1,200                                   | 1,200                  | 0.03                                       | 1,200                                     |
| RAJ PRADIP SHROFF                         | 1                    | 800                                     | 800                    | 0.02                                       | 800                                       |
| MANOJ THAKORLAL SHROFF                    | 1                    | 800                                     | 800                    | 0.02                                       | 800                                       |
| <b>Companies</b>                          | 5                    | 12,29,699                               | 12,29,699              | 27.16                                      | 12,29,699                                 |
| DESAI INVESTMENTS PVT. LTD.               | 1                    | 7,71,607                                | 7,71,607               | 17.04                                      | 7,71,607                                  |
| SOM HOLDING AND TRADING CO PVT LTD        | 1                    | 1,94,215                                | 1,94,215               | 4.29                                       | 1,94,215                                  |
| DESAI INDUSTRIAL FINANCE PVT. LTD.        | 1                    | 1,50,000                                | 1,50,000               | 3.31                                       | 1,50,000                                  |
| NEPTUNE STEEL STRIPS LTD                  | 1                    | 1,04,520                                | 1,04,520               | 2.31                                       | 1,04,520                                  |
| AARAYAA FINSTOCK PVT LTD                  | 1                    | 9,357                                   | 9,357                  | 0.21                                       | 9,357                                     |
| <b>Sub Total A1</b>                       | 24                   | 19,04,265                               | 19,04,265              | 42.06                                      | 19,04,265                                 |
| <b>A2) Foreign</b>                        | 0                    | 0                                       | 0                      | 0  | 0   |
| <b>A=A1+A2</b>                            | 24                   | 19,04,265                               | 19,04,265              | 42.06                                      | 19,04,265                                 |

**Statement showing shareholding pattern of the Public shareholder**

| Category & Name of the Shareholders                                    | No. of shareholder | No. of fully paid up equity shares held | Total no. shares held | Shareholding % calculated as per SCRR, 1957 A | No. of Voting Rights | Total as a % of Total Voting right | No. of equity shares held in dematerialized form(Not Applicable) |
|--|--------------------|---|-----------------------|---|----------------------|------------------------------------|--|
| <b>B1) Institutions</b>  | 0                  | 0                                       |                       | 0.00  |                      | 0.00                               |  |
| <b>Foreign Portfolio Investors</b>                                     | 1                  | 50216                                   | 50,216                | 1.11  | 50,216               | 1.11                               | 50,216   |
| SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND                            | 1                  | 50216                                   | 50,216                | 1.11  | 50,216               | 1.11                               | 50,216   |
| <b>Financial Institutions/ Banks</b>                                   | 1                  | 100                                     | 100                   | 0.00  | 100                  | 0.00                               | 100  |
| <b>Sub Total B1</b>  | 2                  | 50316                                   | 50,316                | 1.11  | 50,316               | 1.11                               | 50,316   |
| <b>B2) Central Government/ State Government(s)/ President of India</b> | 0                  | 0                                       |                       | 0.00  |                      | 0.00                               |  |
| <b>B3) Non-Institutions</b>  | 0                  | 0                                       |                       | 0.00  |                      | 0.00                               |  |
| <b>Individual share capital upto Rs. 2 Lacs</b>                        | 4497               | 1814667                                 | 18,14,667             | 40.08   | 18,14,667            | 40.08                              | 14,52,857  |
| <b>Individual share capital in excess of Rs. 2 Lacs</b>                | 10                 | 469735                                  | 4,69,735              | 10.37   | 4,69,735             | 10.37                              | 4,69,735   |
| DEV ASHOK KARVAT   | 1                  | 93738                                   | 93,738                | 2.07  | 93,738               | 2.07                               | 93,738   |
| Srihari Sirdeshpande   | 1                  | 76700                                   | 76,700                | 1.69  | 76,700               | 1.69                               | 76,700   |
| SHREEKANT JAVALGEKAR   | 1                  | 57000                                   | 57,000                | 1.26  | 57,000               | 1.26                               | 57,000   |
| <b>Any Other (specify)</b>   | 138                | 288907                                  | 2,88,907              | 6.38  | 2,88,907             | 6.38                               | 2,58,817   |
| LLP  | 1                  | 9508                                    | 9,508                 | 0.21  | 9,508                | 0.21                               | 9,508  |
| Bodies Corporate   | 43                 | 150392                                  | 1,50,392              | 3.32  | 1,50,392             | 3.32                               | 1,20,302   |
| KRUTI CAPITAL SERVICES PVT LTD   | 1                  | 65000                                   | 65,000                | 1.44  | 65,000               | 1.44                               | 65,000   |
| Clearing Members   | 10                 | 27302                                   | 27,302                | 0.60  | 27,302               | 0.60                               | 27,302   |
| Non-Resident Indian (NRI)  | 9                  | 8670                                    | 8,670                 | 0.19  | 8,670                | 0.19                               | 8,670  |
| HUF  | 75                 | 93035                                   | 93,035                | 2.05  | 93,035               | 2.05                               | 93,035   |
| <b>Sub Total B3</b>  | 4645               | 2573309                                 | 25,73,309             | 56.83   | 25,73,309            | 56.83                              | 21,81,409  |
| <b>B=B1+B2+B3</b>  | 4647               | 2623625                                 | 26,23,625             | 57.94   | 26,23,625            | 57.94                              | 22,31,725  |

**Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

| Category & Name of the Shareholders(I) | No. of shareholder(III) | No. of fully paid up equity shares held(IV) | Total no. shares held(VII = IV+V+VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII) | Number of equity shares held in dematerialized form(XIV)(Not Applicable) |
|--|-------------------------|---|--------------------------------------|--|--|
| <b>C1) Custodian/DR Holder</b>         | 0                       | 0   |                                      | 0.00   |  |
| <b>C2) Employee Benefit Trust</b>      | 0                       | 0   |                                      | 0.00   |  |