

## V.B.DESAI FINANCIAL SERVICES LIMITED

### Shareholding Pattern as on 31<sup>st</sup> March 2020

#### Summary statement holding of specified securities

Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	Total no. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form
(A) Promoter & Promoter Group	24	19,04,265	19,04,265	42.06	19,04,265	42.06	19,04,265
(B) Public	4,641	26,23,625	26,23,625	57.94	26,23,625	57.94	22,32,537
(C1) Shares underlying DRs				0.00		0.00	
(C2) Shares held by Employee Trust				0.00		0.00	
(C) Non Promoter-Non Public				0.00		0.00	
<b>Grand Total</b>	<b>4,665</b>	<b>45,27,890</b>	<b>45,27,890</b>	<b>100.00</b>	<b>45,27,890</b>	<b>100.00</b>	<b>41,36,802</b>

**Statement showing shareholding pattern of the Promoter and Promoter Group**

Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares	No. of shares held in dematerialized form
<b>A1) Indian</b>				0.00	
<b>Individuals/Hindu undivided Family</b>	19	6,74,566	6,74,566	14.90	6,74,566
DHARTI J SHROFF	1	2,61,041	2,61,041	5.77	2,61,041
MUKESH THAKORLAL SHROFF	1	1,58,946	1,58,946	3.51	1,58,946
JITENDRA R SHROFF (HUF)	1	90,988	90,988	2.01	90,988
ALPA JITENDRA SHROFF	1	38,217	38,217	0.84	38,217
RAJ PRADIP SHROFF (HUF)	1	27,923	27,923	0.62	27,923
SATYAM SHROFF HUF . .	1	25,189	25,189	0.56	25,189
JITENDRA RATILAL SHROFF	1	15,310	15,310	0.34	15,310
THAKORLAL R SHROFF	1	14,421	14,421	0.32	14,421
PURVI RAJ SHROFF	1	12,000	12,000	0.27	12,000
RAKSHA PRADIP SHROFF	1	7,792	7,792	0.17	7,792
BINDU S MARFATIA	1	5,431	5,431	0.12	5,431
PRADIP RATILAL SHROFF	1	4,252	4,252	0.09	4,252
HEMENDRA JITENDRA SHROFF	1	3,006	3,006	0.07	3,006
PINKI MUKESH SHROFF	1	3,000	3,000	0.07	3,000
JYOTI GIRISH SHROFF	1	2,950	2,950	0.07	2,950
GIRISH RATILAL SHROFF	1	1,300	1,300	0.03	1,300
HEMENDRA J SHROFF (HUF)	1	1,200	1,200	0.03	1,200
RAJ PRADIP SHROFF	1	800	800	0.02	800
MANOJ THAKORLAL SHROFF	1	800	800	0.02	800
<b>Companies</b>	5	12,29,699	12,29,699	27.16	12,29,699
DESAI INVESTMENTS PVT. LTD.	1	7,71,607	7,71,607	17.04	7,71,607
SOM HOLDING AND TRADING CO PVT LTD	1	1,94,215	1,94,215	4.29	1,94,215
DESAI INDUSTRIAL FINANCE PVT. LTD.	1	1,50,000	1,50,000	3.31	1,50,000
NEPTUNE STEEL STRIPS LTD	1	1,04,520	1,04,520	2.31	1,04,520
AARAYAA FINSTOCK PVT LTD	1	9,357	9,357	0.21	9,357
<b>Sub Total A1</b>	24	19,04,265	19,04,265	42.06	19,04,265
<b>A2) Foreign</b>	0	0	0	0	0
<b>A=A1+A2</b>	24	19,04,265	19,04,265	42.06	19,04,265

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form (Not Applicable)
<b>B1) Institutions</b>	0	0		0.00		0.00	
<b>Foreign Portfolio Investors</b>	1	50216	50,216	1.11	50,216	1.11	50,216
SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	1	50216	50,216	1.11	50,216	1.11	50,216
<b>Financial Institutions/Banks</b>	1	100	100	0.00	100	0.00	100
<b>Sub Total B1</b>	2	50316	50,316	1.11	50,316	1.11	50,316
<b>B2) Central Government/ State Government(s) / President of India</b>	0	0		0.00		0.00	
<b>B3) Non-Institutions</b>	0	0		0.00		0.00	
<b>Individual share capital upto Rs. 2 Lacs</b>	4489	1814383	18,14,383	40.07	18,14,383	40.07	14,53,385
<b>Individual share capital in excess of Rs. 2 Lacs</b>	10	469735	4,69,735	10.37	4,69,735	10.37	4,69,735
DEV ASHOK KARVAT	1	93738	93,738	2.07	93,738	2.07	93,738
Srihari Sirdeshpande	1	76700	76,700	1.69	76,700	1.69	76,700
SHREEKANT JAVALGEKAR	1	57000	57,000	1.26	57,000	1.26	57,000
<b>Any Other (specify)</b>	140	289191	2,89,191	6.39	2,89,191	6.39	2,59,101
LLP	1	9508	9,508	0.21	9,508	0.21	9,508
Bodies Corporate	46	170572	1,70,572	3.77	1,70,572	3.77	1,40,482
KRUTI CAPITAL SERVICES PVT LTD	1	65000	65,000	1.44	65,000	1.44	65,000
Clearing Members	11	21483	21,483	0.47	21,483	0.47	21,483
Non-Resident Indian (NRI)	9	8670	8,670	0.19	8,670	0.19	8,670
HUF	73	78958	78,958	1.74	78,958	1.74	78,958
<b>Sub Total B3</b>	4639	2573309	25,73,309	56.83	25,73,309	56.83	21,82,221
<b>B=B1+B2+B3</b>	4641	2623625	26,23,625	57.94	26,23,625	57.94	22,32,537

**Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	Number of equity shares held in dematerialized form(XIV)(Not Applicable)
<b>C1) Custodian/DR Holder</b>	0	0		0.00	
<b>C2) Employee Benefit Trust</b>	0	0		0.00	