

**V.B. DESAI FINANCIAL SERVICES LIMITED**

**Shareholding Pattern as on 30<sup>th</sup> September 2021**

**Summary statement holding of specified securities**

Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	Total no. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form
(A) Promoter & Promoter Group	23	19,04,265	19,04,265	42.06	19,04,265	42.06	19,04,265
(B) Public	4,612	26,23,625	26,23,625	57.94	26,23,625	57.94	22,33,637
(C1) Shares underlying DRs				0.00		0.00	
(C2) Shares held by Employee Trust				0.00		0.00	
(C) Non Promoter-Non Public				0.00		0.00	
<b>Grand Total</b>	<b>4,635</b>	<b>45,27,890</b>	<b>45,27,890</b>	<b>100.00</b>	<b>45,27,890</b>	<b>100.00</b>	<b>41,37,902</b>

**Statement showing shareholding pattern of the Promoter and Promoter Group**

Category of shareholder	Nos. of share holders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares	No. of shares held in dematerialized form
<b>A1) Indian</b>				0.00	
<b>Individuals/Hindu undivided Family</b>	18	6,74,566	6,74,566	14.90	6,74,566
DHARTI J SHROFF	1	2,61,041	2,61,041	5.77	2,61,041
MUKESH THAKORLAL SHROFF	1	1,73,367	1,73,367	3.83	1,73,367
JITENDRA R SHROFF (HUF)	1	90,988	90,988	2.01	90,988
ALPA JITENDRA SHROFF	1	38,217	38,217	0.84	38,217
RAJ PRADIP SHROFF (HUF)	1	27,923	27,923	0.62	27,923
SATYAM SHROFF HUF . .	1	25,189	25,189	0.56	25,189
JITENDRA RATILAL SHROFF	1	15,310	15,310	0.34	15,310
PURVI RAJ SHROFF	1	12,000	12,000	0.27	12,000
RAKSHA PRADIP SHROFF	1	7,792	7,792	0.17	7,792
BINDU S MARFATIA	1	5,431	5,431	0.12	5,431
PRADIP RATILAL SHROFF	1	4,252	4,252	0.09	4,252
HEMENDRA JITENDRA SHROFF	1	3,006	3,006	0.07	3,006
PINKI MUKESH SHROFF	1	3,000	3,000	0.07	3,000
JYOTI GIRISH SHROFF	1	2,950	2,950	0.07	2,950
GIRISH RATILAL SHROFF	1	1,300	1,300	0.03	1,300
HEMENDRA J SHROFF (HUF)	1	1,200	1,200	0.03	1,200
RAJ PRADIP SHROFF	1	800	800	0.02	800
MANOJ THAKORLAL SHROFF	1	800	800	0.02	800
<b>Companies</b>	5	12,29,699	12,29,699	27.16	12,29,699
DESAI INVESTMENTS PVT. LTD.	1	7,71,607	7,71,607	17.04	7,71,607
SOM HOLDING AND TRADING CO PVT LTD	1	1,94,215	1,94,215	4.29	1,94,215
DESAI INDUSTRIAL FINANCE PVT. LTD.	1	1,50,000	1,50,000	3.31	1,50,000
NEPTUNE STEEL STRIPS LTD	1	1,04,520	1,04,520	2.31	1,04,520
AARAYAA FINSTOCK PVT LTD	1	9,357	9,357	0.21	9,357
<b>Sub Total A1</b>	23	19,04,265	19,04,265	42.06	19,04,265
<b>A2) Foreign</b>	0	0	0	0	0
<b>A=A1+A2</b>	23	19,04,265	19,04,265	42.06	19,04,265

**Statement showing shareholding pattern of the Public shareholder**

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form
<b>B1) Institutions</b>	0	0		0.00		0.00	
<b>Foreign Portfolio Investors</b>	1	50216	50,216	1.11	50,216	1.11	50,216
SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	1	50216	50,216	1.11	50,216	1.11	50,216
<b>Financial Institutions/ Banks</b>	1	100	100	0.00	100	0.00	100
<b>Sub Total B1</b>	2	50316	50,316	1.11	50,316	1.11	50,316
<b>B2) Central Govt./ State Govt(s)/ President of India</b>	0	0		0.00		0.00	
<b>B3) Non-Institutions</b>	0	0		0.00		0.00	
<b>Individual share capital upto Rs. 2 Lacs</b>	4464	1804038	18,04,038	39.84	18,04,038	39.84	14,44,140
<b>Individual share capital in excess of Rs. 2 Lacs</b>	12	531524	5,31,524	11.74	5,31,524	11.74	5,31,524
DEV ASHOK KARVAT	1	93738	93,738	2.07	93,738	2.07	93,738
Srihari Sirdeshpande	1	76700	76,700	1.69	76,700	1.69	76,700
SHREEKANT JAVALGEKAR	1	57000	57,000	1.26	57,000	1.26	57,000
<b>Any Other (specify)</b>	134	237747	2,37,747	5.25	2,37,747	5.25	2,07,657
Bodies Corporate	44	119578	1,19,578	2.64	1,19,578	2.64	89,488
Clearing Members	9	11679	11,679	0.26	11,679	0.26	11,679
Non-Resident Indian (NRI)	8	7870	7,870	0.17	7,870	0.17	7,870
HUF	73	98620	98,620	2.18	98,620	2.18	98,620
<b>Sub Total B3</b>	4610	2573309	25,73,309	56.83	25,73,309	56.83	21,83,321
<b>B=B1+B2+B3</b>	4612	2623625	26,23,625	57.94	26,23,625	57.94	22,33,637
<b>B1) Institutions</b>	0	0		0.00		0.00	

**Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	Number of equity shares held in dematerialized form(XIV)(Not Applicable)
<b>C1) Custodian/DR Holder</b>	0	0		0.00	
<b>C2) Employee Benefit Trust</b>	0	0		0.00	

**Statement showing details of significant beneficial owners**

Sr. No	Details of the SBO (I)		Details of the registered owner (II)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)					Date of creation / acquisition of significant beneficial interest # (IV)
					Whether by virtue of:					
	Name	Nationality	Name	Nationality	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	DESAI INVESTMENTS PVT. LTD.	India	DESAINVESTMENTS PVT. LTD.	India	17	17		Yes	Yes	04/04/1986